



Study Title: A single-blind, randomised, phase II UK multi-centre study to determine reactogenicity and immunogenicity of heterologous prime/boost COVID-19 vaccine schedules – Stage 2

Short Title: Comparing COVID-19 Vaccine Schedule Combinations – Stage 2 (Com-COV2)

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Protocol signature page

The undersigned has read and understood the trial protocol detailed above and agrees to conduct the trial in compliance with the protocol.

| Principal Investigator | Signature | Site name or ID number | Date |
|------------------------|-----------|---------------------------|------|
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1. KEY TRIAL CONTACTS

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| Funder(s) | UK Vaccine Task Force (VTF) National Institute Health Research (NIHR) Collaboration for Epidemic Preparedness (CEPI) Novavax (Vaccine supply) |
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| M Ramasamy | D Turner | | | | | | | | | | | | |
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| A Collins | A Goodman | | | | | | | | | | | | |
| | C Duncan | | | | | | | | | | | | |

2. CONFLICT OF INTEREST DECLARATION

The ChAdOx1 nCoV-19 vaccine was developed as a partnership between the University of Oxford, who are sponsoring and coordinating this study, and AstraZeneca. The University of Oxford and AstraZeneca have committed to making the vaccine available on a 'not for profit' basis for the duration of the current pandemic. Both parties could potentially profit from this vaccine in the future.

M Ramasamy is an investigator on the Cov001 and Cov002 studies evaluating ChAdOx1 nCoV19, these studies are funded by NIHR and receive logistical support from AstraZeneca. M Ramasamy is an investigator on Com-COV, funded by the NIHR. M Ramasamy is also an investigator on the COV009 and COV-Variant trials, funded by AstraZeneca. COV009 an extension study for ChAdOx1 nCoV-19 and COV-Variant a study of AZD2816 for the prevention of COVID-19 caused by variant strains of SARS-CoV-2.

She receives no personal financial benefit for this work.

3. LAY SUMMARY

On the 2nd of December 2020 the MHRA granted emergency authorisation for a vaccine against COVID-19, 'COVID-19 mRNA Vaccine BNT162b2'. This was followed by emergency authorisation of the Oxford/AstraZeneca ChAdOx1 nCoV-19 vaccine on the 29th December 2020. The MHRA then similarly granted emergency authorisation for the mRNA COVID-19 Vaccine Moderna on 8th January 2021. The adjuvanted protein COVID-19 vaccine from Novavax, NVXCoV2373, is under rolling review of the MHRA at the time of writing. All of these vaccines were originally developed for use as homologous two-dose regimens. There would be significant advantages to having flexible immunisation programmes whereby the second vaccine dose is not necessarily the same as the first dose. Accordingly, this study will determine the safety as well as the immune responses to a variety of combinations of boost schedules for COVID-19 vaccines that are deployed, or will potentially be deployed, in the UK. The vaccines to be studied in this protocol will primarily be determined by those made available to the Department of Health and Social Care (DHSC) for population use. This study follows on and works in parallel with the Com-COV (Ethics Ref: 21/SC/0022, IRAS Project ID: 291055, ISRCTN: 69254139) study to answer the same questions in a pandemic-relevant timeframe but involving different vaccines, and with randomisation at dose two rather than dose one.

4. SYNOPSIS

| | |
|---|---|
| Trial Title | A single-blind, randomised, phase II UK multi-centre study to determine reactogenicity and immunogenicity of heterologous prime/boost COVID-19 vaccine schedules - Stage 2 |
| Internal ref. no. (or short title) | Comparing COVID-19 Vaccine Schedule Combinations – Stage 2 (Com-COV2) |
| Trial registration | EudraCT 2021-001275-16 ISRCTN: 27841311 |
| Sponsor | University of Oxford, Clinical Trials and Research Governance, Joint Research Office, Boundary Brook House Churchill Drive, Headington Oxford OX3 7GB, United Kingdom |
| Funder | UK Vaccine Task Force (VTF) National Institute Health Research (NIHR) Collaboration for Epidemic Preparedness (CEPI) Novavax (Vaccine supply) |
| Clinical Phase | Phase II |
| Trial Design | Single-blind, randomised homologous/heterologous-boost vaccine administration study |
| Trial Participants | Adults aged 50 years of age and above |
| Sample Size | A total of 1050 (+ up to an additional 10%) participants will be enrolled, all of whom will have had their first dose of COVID-19 vaccine in the community as part of the NHS vaccine roll-out programme. Participants will be evenly divided by prime vaccine type (525 per prime vaccine group). Within these vaccine groups participants will be enrolled into either an Immunology cohort who will have more visits (n=150 in total, 75 from each vaccine prime group) or a General cohort (n=900 in total, 450 from each vaccine prime group). Within each of these vaccine groups and cohorts participants will be randomised to receive either a homologous or heterologous boost as outlined in section 8.3. |
| Planned Trial Period | Approximately 7-10 months per participant |

| | Total trial period 1 year, 7 months | | |
|-----------------------|--|--|---------------------------|
| | Objectives | Outcome Measures | Timepoint(s) |
| Primary | To determine whether the immune response to immunisation with a heterologous boost of a COVID-19 vaccine (boosted 56-84 days post-prime) is non-inferior to that observed following immunisation with a homologous boost (boosted 56-84 days post prime), in participants seronegative to SARS CoV-2 nucleocapsid IgG at enrolment | Immunogenicity: Anti-spike immunoglobulins | Day 28 (post boost) |
| Secondary | To assess safety of heterologous boost COVID-19 vaccines | Serious adverse events Adverse events of special interest | Throughout the study |
| | Further characterisation of immunogenicity of heterologous & homologous boost schedules* | Anti-spike immunoglobulins | D0, 7, 14, 56, 112, 294 |
| | | Neutralising antibodies against SARS-CoV-2 | D0, 14, 28, 56, 112, 294 |
| | | Anti-nucleocapsid immunoglobulins | D0, 28, 112, 294 |
| | | Pseudo neutralising antibodies | D0, 14, 28, 56, 112, 294 |
| | | Cellular immune responses by ELISpot | D0, 14, 28, 56, 112, 294 |
| | | Cellular immune responses by ICS (Th1/Th2) | D0, 14 |
| | | *D7 and 14 analysis only for immunology cohort (n=150) | |
| | Reactogenicity and safety of heterologous & homologous boost schedules of COVID-19 vaccines | Solicited local reactions | 7 days after immunisation |
| | | Solicited systemic reactions | 7 days after immunisation |
| Unsolicited reactions | | 28 days after immunisation | |

| | | | |
|--------------------|---|---|--|
| | | Medically attended adverse events | Up to 3 months post boost dose (i.e. 3 months from enrolment) |
| | | Changes from baseline in laboratory safety measures | D0, 7, 28** |
| | **D7 safety bloods only for immunology cohort (n=150) | | |
| | Evaluation of immunogenicity, safety & reactogenicity of COVID-19 vaccines in participants seropositive for SARS-CoV-2 nucleocapsid IgG at enrolment | Immunogenicity, safety & reactogenicity endpoints as outlined above | Timepoints as outlined above |
| Exploratory | To characterise COVID-19 infections experienced following administration of vaccination and the immune response to those infections | Anti-spike & anti-nucleocapsid immunoglobulins, neutralising and pseudo-neutralising antibodies, cellular immune response by ICS and ELISpot Genome sequencing of SARS-CoV-2 viruses isolated from infected participants | From enrolment, and within 1 week of a participant being found to be SARS-CoV-2 positive by external testing |
| | To characterise and compare the mucosal immune response to immunisation with homologous and heterologous COVID-19 vaccines in the immunology cohort using nasal fluid (collected using SAM-strips) and saliva samples | IgA & IgG ELISA and exploratory immunological assays | D0, 7, 14, 28, 56, 112, 294 |
| | To further characterise the blood antibody response in the immunology cohort | Functional antibody assays | D0, 7, 14, 28, 56, 112, 294 |

| Intervention(s) - IMP(s) | <table border="1"> <thead> <tr> <th>Vaccine</th> <th>Dose</th> <th>Route of administration</th> </tr> </thead> <tbody> <tr> <td>AstraZeneca COVID-19 vaccine AZD1222 (ChAdOx1 nCoV-19)</td> <td>5x10¹⁰vp (0.5ml)</td> <td>Intramuscular</td> </tr> <tr> <td>Pfizer BioNTech (BNT162b2)</td> <td>30 µg (0.3ml)</td> <td>Intramuscular</td> </tr> <tr> <td>COVID-19 Vaccine Moderna</td> <td>0.10mg mRNA (0.5ml)</td> <td>Intramuscular</td> </tr> <tr> <td>Novavax, NVXCoV2373</td> <td>5 µg SARS-CoV-2 rS + 50 µg Matrix-M1 adjuvant (0.5ml)</td> <td>Intramuscular</td> </tr> </tbody> </table> | Vaccine | Dose | Route of administration | AstraZeneca COVID-19 vaccine AZD1222 (ChAdOx1 nCoV-19) | 5x10 ¹⁰ vp (0.5ml) | Intramuscular | Pfizer BioNTech (BNT162b2) | 30 µg (0.3ml) | Intramuscular | COVID-19 Vaccine Moderna | 0.10mg mRNA (0.5ml) | Intramuscular | Novavax, NVXCoV2373 | 5 µg SARS-CoV-2 rS + 50 µg Matrix-M1 adjuvant (0.5ml) | Intramuscular |
|-----------------------------|---|-------------------------------|-------------------------|-------------------------|--|-------------------------------|---------------|----------------------------|---------------|---------------|--------------------------|---------------------|---------------|---------------------|---|---------------|
| | Vaccine | Dose | Route of administration | | | | | | | | | | | | | |
| | AstraZeneca COVID-19 vaccine AZD1222 (ChAdOx1 nCoV-19) | 5x10 ¹⁰ vp (0.5ml) | Intramuscular | | | | | | | | | | | | | |
| | Pfizer BioNTech (BNT162b2) | 30 µg (0.3ml) | Intramuscular | | | | | | | | | | | | | |
| | COVID-19 Vaccine Moderna | 0.10mg mRNA (0.5ml) | Intramuscular | | | | | | | | | | | | | |
| Novavax, NVXCoV2373 | 5 µg SARS-CoV-2 rS + 50 µg Matrix-M1 adjuvant (0.5ml) | Intramuscular | | | | | | | | | | | | | | |

5. ABBREVIATIONS

| | |
|-----------------|---|
| ADE | Antibody Dependant Enhancement |
| AE | Adverse event |
| AESI | Adverse Event of Special Interest |
| Anti-N IgG | Anti-nucleocapsid protein Immunoglobulin G |
| Anti-S IgG | Anti-spike protein Immunoglobulin G |
| AR | Adverse reaction |
| C-19P | COVID-19 Pathway |
| CCVTM | Centre for Clinical Vaccinology and Tropical Medicine, Oxford |
| CEPI | Collaboration for Epidemic Preparedness Innovations |
| ChAdOx1 | Chimpanzee adenovirus 1 |
| ChAdOx1-nCoV-19 | Oxford/AstraZeneca COVID-19 vaccine |
| CI | Chief Investigator |

| | |
|--------------------------|---|
| COVID-19 Vaccine Moderna | Moderna COVID-19 vaccine |
| CRF | Case Report Form |
| CT | Clinical Trials |
| CTA | Clinical Trials Authorisation |
| CTRG | Clinical Trials and Research Governance |
| DSMB | Data Safety Monitoring Board |
| DSUR | Development Safety Update Report |
| EDC | Electronic Data Capture |
| ELISPOT | Enzyme-linked Immunospot |
| FBC | Full blood count |
| GCP | Good Clinical Practice |
| GMT | Geometric Mean Titre |
| GP | General Practitioner |
| HIV | Human Immunodeficiency virus |
| HRA | Health Research Authority |
| IB | Investigators Brochure |
| ICS | Intracellular Cytokine Staining |
| ICF | Informed Consent Form |
| IM | Intramuscular |
| IMP | Investigational Medicinal Product |
| IV | Intravenous |
| JCVI | Joint Committee on Vaccines and Immunisation |
| MHRA | Medicines and Healthcare products Regulatory Agency |
| mRNA | Messenger ribo-nucleic-acid |
| NHS | National Health Service |
| NIHR | National Institute for Health Research |

| | |
|---------------------|--|
| NISEC | National Immunisation Schedule Evaluation Consortium |
| Novavax, NVXCoV2373 | Novavax COVID-19 vaccine |
| PBMC | Peripheral blood mononuclear cell |
| PCR | Polymerase chain reaction |
| Pfizer BNT162b2 | Pfizer COVID-19 vaccine |
| qPCR | Quantitative polymerase chain reaction |
| RES | Research Ethics Service |
| PB | Post-boost |
| PI | Principal Investigator |
| PIS | Participant/ Patient Information Sheet |
| REC | Research Ethics Committee |
| RSI | Reference Safety Information |
| SAE | Serious Adverse Event |
| SAM-strips | Synthetic absorbable matrix strips |
| SAR | Serious Adverse Reaction |
| SARS-CoV2 | Severe acute respiratory syndrome – coronavirus 2 |
| SMPC | Summary of Medicinal Product Characteristics |
| SOP | Standard Operating Procedure |
| SUSAR | Suspected Unexpected Serious Adverse Reactions |
| TMF | Trial Master File |
| TSG | Trials Safety Group |
| µg | Microgram |
| Vp | Viral particle |
| VTF | Vaccine Task Force |
| WHO | World Health Organisation |

6. BACKGROUND AND RATIONALE

In December 2019, a cluster of patients with pneumonia of unknown cause was linked to a seafood wholesale market in Wuhan, China and were later confirmed to be infected with a novel coronavirus, known as 2019-nCoV (Zhu, Gao et al. 2020). The virus was subsequently renamed to SARS-CoV-2 because it is similar to the coronavirus responsible for severe acute respiratory syndrome (SARS-CoV), a lineage B betacoronavirus. SARS-CoV-2 shares more than 79% of its sequence with SARS-CoV, and 50% with the coronavirus responsible for Middle East respiratory syndrome (MERS-CoV), a member of the lineage C betacoronavirus (Lu, Zhao et al. 2020). COVID-19 is the infectious disease caused by SARS-CoV-2. By January 2020 there was increasing evidence of human to human transmission as the number of cases rapidly began to increase in China. Despite unprecedented containment measures adopted by the Chinese government, SARS-CoV-2 rapidly spread across the world. The WHO declared the COVID-19 outbreak a public health emergency of international concern on 30th January 2020. Globally, as of 22nd March 2021, there have been 122,992,844 confirmed cases of COVID-19, including 2,711,071 deaths, reported to the WHO.

Coronaviruses (CoVs) are spherical, enveloped, large positive-sense single-stranded RNA genomes. One-fourth of their genome is responsible for coding structural proteins, such as the spike (S) glycoprotein, envelope (E), membrane (M) and nucleocapsid (N) proteins. E, M, and N are mainly responsible for virion assembly whilst the S protein is involved in receptor binding, mediating virus entry into host cells during CoVs infection via different receptors (Li 2016). SARS-CoV-2 belongs to the phylogenetic lineage B of the genus Betacoronavirus and it recognises the angiotensin-converting enzyme 2 (ACE2) as the entry receptor (Zhou, Mq et al. 2020). It is the seventh CoV known to cause human infections and the third known to cause severe disease after SARS-CoV and MERS-CoV.

Many social measures have been undertaken in countries across the world in order to limit the spread of the virus (UK Department of Health and Social Care 2020). These have included social distancing, lockdown and mask-wearing. Currently there is no definitive treatment for COVID-19. Dexamethasone has been shown to improve mortality in those with confirmed disease and an Oxygen requirement (RECOVERY Collaborative Group, Fegan et al. 2021). Remdesivir, a direct anti-viral, has also been shown to reduce duration of symptoms in those who have only mild disease (Beigel, Patterson et al. 2020).

Many countries have already experienced 'second' and 'third' waves of infection. On the 2nd December 2020 the MHRA granted emergency authorisation for a vaccine against COVID-19,

'COVID-19 mRNA Vaccine BNT162b2' (U. K. Department of Health and Social Care 2020), the European Medicines Agency then granted conditional authorisation on 21st December 2020. This was followed by emergency authorisation of the Oxford/AstraZeneca ChAdOx1 nCoV-19 vaccine on the 29th December 2020 by the UK MHRA. The MHRA then similarly granted emergency authorisation for the mRNA COVID-19 Vaccine Moderna on 8th January 2021. The adjuvanted protein COVID-19 vaccine from Novavax, NVXCoV2373, is under rolling review of the MHRA at the time of writing. All of these vaccines were developed for use as homologous two-dose regimens. Further vaccines using different platforms are expected to be approved for use during 2021, the majority of which are expected to be approved as two dose, homologous prime/boost schedules.

Given the anticipated programmatic challenges of immunising large proportions of the population, there would be advantages to having flexible immunisation programmes where the second dose is not necessarily the same as the first dose, i.e. a permissive approach to using heterologous boost schedules. Accordingly, this study will determine the reactogenicity and immunogenicity of unapproved heterologous boost schedules for candidate COVID-19 vaccines that are potentially to be deployed in the UK, for which safety and clinical efficacy data are not known. The vaccines to be studied in this protocol will primarily be determined by those made available to the Department of Health & Social Care (DHSC) for population use.

Furthermore, given the UK introduction of COVID-19 vaccines has utilised an extended (up to 12 week) interval between the first and second dose of vaccine, and the WHO have recommended a minimum period of 8 weeks between doses of ChAdOx1 nCoV-19 vaccines, this study will evaluate combinations of vaccines within an 8-12 week window post prime.

As further vaccines get their licensure in the UK, they may be added to the trial, increasing the number of boost vaccine permutations. The population to be studied will be adults aged 50 years and over; including those with comorbidities classified as mild/moderate/well controlled. The reason for this is that this will most likely include the target population for vaccination, as these are the population who are most at risk of severe disease.

Table 1: Investigational medicinal product(s), summary of relevant studies

| Country | Trial | Phase | Trials registration | Vaccine | Route | Dose | Age cohorts (years) | Number of participants |
|------------------------|--------|---|------------------------|-----------------|-------|-----------------------|---------------------|------------------------|
| ChAdOx1 nCoV-19 | | | | | | | | |
| UK | COV001 | Phase 1/2 efficacy, safety & immunogenicity | EudraCT 2020-001072-15 | ChAdOx1 nCoV-19 | IM | 5x10 ¹⁰ vp | 18-55 | 1077 |

| | | | | | | | | |
|---|-------------------|--|--|--|----|--|-----------------------|------------------------|
| UK | COV002 | Phase 2/3 | EudraCT 2020-001228-32 | ChAdOx1 nCoV-19 | IM | 2.5 - 5x10 ¹⁰ vp | 18-64 >65 | 10,812 |
| Brazil | COV003 | Phase 3 | NCT04536051 | ChAdOx1 nCoV-19 | IM | 5x10 ¹⁰ vp | >18 | 10,416 |
| South Africa | COV005 | Adaptive Phase 1/2 | NCT04444674 | ChAdOx1 nCoV-19 | IM | 5x10 ¹⁰ vp | 18-65 | 2,130 |
| USA Argentina Chile Colombia France Peru | AstraZeneca | Phase 3 double-blind, placebo-controlled | NCT04516746 | AZD1222 | IM | 5x10 ¹⁰ vp | 18-130 | 32,459 |
| BNT162b2 | | | | | | | | |
| Germany | BioNTech | Phase I/II, 2-Part, Dose-Escalation Trial | EudraCT 2020-001038-36 | BNT162a1 BNT162b1 BNT162b2 BNT162c2 | IM | 10 µg 30 µg 100 µg (phase 1) 10 µg, 20 µg and 30 µg (phase 2) | 18-55 56-85 | 486 132 |
| Argentina, Brazil Germany, Turkey South Africa, USA | BioNTech & Pfizer | A phase 1/2/3, observer-blind, dose-finding study | EudraCT 2020-002641-42 | BNT162b2 | IM | 30ug | 12-17 18-64 >65 | 2500 31000 10498 |
| mRNA-1273 | | | | | | | | |
| USA | Moderna | Phase I, open-label, dose ranging | NCT04283461 | mRNA-1273 | IM | 10ug 25ug 50ug 100ug 250ug | 18-99 | 120 |
| USA | Moderna | Phase 2a dose-confirmation | NCT04405076 | mRNA-1273 | IM | 50ug 100ug | 18-54 55+ | 600 |
| USA | Moderna | Phase 3 | NCT04470427 | mRNA-1273 | IM | 100ug | >18 | 30420 |
| NVXCoV2373 | | | | | | | | |
| Australia USA | Novavax | 2-part, randomized, observer-blinded, placebo-controlled, Phase 1/2 trial. | NCT04368988 | NVXCoV2373 | IM | 0.6ml 0.5ml | 18-84 | 1500 |
| United Kingdom | Novavax | Phase 3, Randomised, Observer-Blinded, Placebo-Controlled | EudraCT: 2020-004123-16 NCT04583995 | NVXCoV2373 | IM | 0.5ml | 18-84 | 15000 |
| South Africa | Novavax | Phase 2A/B Randomized, Observer-blinded, Placebo-controlled Study | NCT04533399 | NVXCoV2373 | IM | 0.5ml | 18-64 18-84 | 4400 |
| USA Mexico | Novavax | A Phase 3, Randomized, Observer-Blinded, Placebo-Controlled Stud | NCT04611802 | NVXCoV2373 | IM | 0.5ml | >17 | 30000 |

6.1. Potential benefits

Participants in this study will have already received a dose of an approved COVID-19 vaccine through the NHS. Administration of the second dose 'boost' of COVID-19 vaccine in this study

(from 8 weeks) may be administered earlier than it would be through routine immunisation (up to 12 weeks), which is of potential benefit.

It is hoped that the information gained from this study will contribute to the development of a safe, effective and versatile vaccine programme against COVID-19.

6.2. Potential risks

6.2.1. Unapproved schedules and vaccines

The majority of participants will be receiving a heterologous boost, which is at variance with the current UK approved schedules. Furthermore, those randomised to receive NVXCoV2373 will be receiving a vaccine that is yet to receive MHRA emergency approval.

Although the heterologous boost schedules have not been approved, the UK 'Green Book' guide to immunisation notes with regards to the approved COVID-19 vaccines that, 'as the vaccines are based on the spike protein, it is likely the second dose will help to boost the response to the first dose'. Therefore a person receiving a heterologous boost schedule, is to be considered as immunised, and to not require additional doses of COVID-19 vaccine (Public Health England 2020), and it is anticipated that this will apply to the Novavax vaccine if it is approved. If this does not apply to the Novavax vaccine then the advice of the DSMB will be sought. Pre-clinical data suggests that immunising with a heterologous boost schedule could generate an immune response that surpasses that of the homologous prime/boost schedule, although this is yet to be determined in humans (Spencer, Gilbert et al. 2021).

6.2.2. Associated with phlebotomy

Localised bruising and discomfort can occur at the site of venepuncture. Infrequently fainting may occur. These will not be documented as AEs if they occur. The total volume of blood drawn over a 12 month period will be up to 164-351ml (+ up to 37-77ml per COVID-19 visit if required, and/or up to 7ml per additional set of safety bloods) (blood volumes may vary slightly for participants at different investigator sites due to use of different volume vacutainers, following local Trust SOPs). This should not compromise these otherwise healthy volunteers, as these volumes are within the limits of 470mL every 3 – 4 months for blood donations to the National Blood Transfusion Service. Participants will be asked to refrain from blood donation for the duration of their involvement in the trial.

6.2.3. Associated with saliva sampling

Participants may find the saliva collection process unsavoury as it involves drooling and spitting.

6.2.4. Associated with nasal fluid sampling

Localised discomfort can occur in the nostril. Infrequently, this can result in a small amount of epistaxis, which can be controlled with pressure to the affected area.

6.2.5. Allergic reactions

Allergic reactions from mild to severe may occur in response to any constituent of a medicinal product's preparation. Anaphylaxis is known to occur in approximately 2.5 to 4.7 per million recipients of mRNA COVID-19 vaccines (Shimabukuro, Immunization Safety Office et al. 2021), and more generally in around 1 in 1,000,000 doses of all vaccines, but can occur in response to any vaccine or medication (Public Health England 2020).

6.2.6. Behaviour change

Participants might feel they can modify their COVID-19 risk behaviours on the assumption that they are protected once vaccinated. Participants will be extensively counselled that they should continue to follow all up to date government advice in relation to COVID-19 precautions during the trial.

6.2.7. Specific risks from vaccines

Please refer to Section 12.8 for full details.

6.2.8. Receiving a vaccine schedule that is not found to be non-inferior

It is possible that one of the combinations of vaccine schedule used in this trial is, on analysis found not to be non-inferior to the comparative homologous schedule. This is the reason the trial is being performed. This will be mitigated by the possibility of further vaccination, as advised by the oversight committees. If a study group was found to have an antibody response that did not meet the non-inferiority criteria, they would be offered a booster dose of vaccine as advised by the DSMB and TSC.

6.2.9. Antibody-dependent enhancement and immunopathology

Safety concerns around the use of some viral antigens as a vaccine antigen have been raised following historical and limited reports of immunopathology and antibody dependant enhancement (ADE) reported in vitro and post SARS-CoV challenge in mice, ferrets and non-human primates immunised with whole SARS-CoV inactivated or full-length S protein based

vaccines, including a study using Modified Vaccinia Ankara as a vector (Weingartl, Czub et al. 2004, Tseng, Sbrana et al. 2012, Liu, Wei et al. 2019). To date, there has been one report of lung immunopathology following MERS-CoV challenge in mice immunised with an inactivated MERS-CoV candidate vaccine (Agrawal, Tao et al. 2016). However, in preclinical studies of ChAdOx1 immunisation and MERS-CoV challenge, no ADE was observed in hDPP4 transgenic mice, dromedary camels or non-human primates (Munster, Wells et al. 2017, Alharbi, Qasim et al. 2019).

The possibility of ADE has also been evaluated in clinical and pre-clinical studies of the vaccines used in this trial. Nevertheless, this risk will not have been assessed for heterologous boost schedules. Participants will be made aware of this theoretical risk.

6.2.10. Emerging Thrombosis with Thrombocytopenia Association with vaccination

The MHRA and JCVI issued updated guidance regarding the use of ChAdOx1 nCoV-19 on 7th April 2021, following a review of extremely rare reports of cerebral venous sinus thrombosis (and thrombosis of other major veins) with concurrent thrombocytopenia that have occurred after vaccination in the national rollout programme. This recommends that currently, in the UK setting, alternative vaccinations against COVID-19 should be preferentially offered to individuals aged 29 and under.

All participants in this study will be provided with up-to-date information from regulators on this finding via the participant information sheet. They will also be provided with other relevant documentation from regulators and/or public health authorities related to this association and possible risks of vaccination that is also being provided in vaccination centres. Participants who will potentially receive the ChAdOx1 nCoV-19 will be given public health documents specific to this vaccine. Participants will be advised to be aware of possible signs and symptoms of blood clots and to have a low threshold to contact trial teams if experiencing these or other symptoms.

6.2.11. Unwanted media attention

Trial participants can be subjected to unwanted attention from the media. They will therefore be provided with access to a document outlining some suggested media guidance.

7. OBJECTIVES AND OUTCOME MEASURES

| Objectives | Outcome Measures | Timepoint(s) |
|--|---|---------------------------|
| Primary | | |
| To determine whether the immune response to immunisation with a heterologous boost of a COVID-19 vaccine (boosted 56-84 days post-prime) is non-inferior to that observed following immunisation with a homologous boost (boosted 56-84 days post prime), in participants seronegative to SARS CoV-2 nucleocapsid IgG at enrolment | Anti-spike immunoglobulins | Day 28 |
| Secondary | | |
| To assess safety of heterologous boost COVID-19 vaccines | Serious adverse events and adverse events of special interest | Throughout the study |
| Further characterisation of immunogenicity of heterologous & homologous boost schedules* | Anti-spike immunoglobulins | D0, 7, 14, 56, 112, 294 |
| | Neutralising antibodies against SARS-CoV-2 | D0, 14, 28, 56, 112, 294 |
| | Anti-nucleocapsid immunoglobulins | D0, 28, 112, 294 |
| | Pseudo neutralising antibodies | D0, 14, 28, 56, 112, 294 |
| | Cellular immune responses by ELISpot | D0, 14, 28, 56, 112, 294 |
| | Cellular immune responses by ICS (Th1/Th2) | D0, 14 |
| **D7 and 14 analysis only for immunology cohort (n=150) | | |
| Reactogenicity and safety of heterologous & homologous boost schedules of COVID-19 vaccines | Solicited local reactions | 7 days after immunisation |
| | Solicited systemic reactions | 7 days after immunisation |

| | | |
|---|---|--|
| | Unsolicited reactions | 28 days after immunisation |
| | Medically attended adverse reactions | Up to 3 months post boost dose (i.e. 3 months from enrolment) |
| | Changes from baseline in laboratory safety measures | D0, 7, 28** |
| **D7 safety bloods only for immunology cohort (n=150) | | |
| Evaluation of immunogenicity, safety and reactogenicity of COVID-19 vaccines in participants sero-positive for SARS-CoV-2 IgG at baseline | Immunogenicity, reactogenicity and safety endpoints as outlined above | Timepoints as outlined above |
| To characterise COVID-19 infections experienced following administration of vaccination and the immune response to those infections | Anti-spike & anti-nucleocapsid immunoglobulins, neutralising and pseudo-neutralising antibodies, cellular immune response by ICS and ELISpot Genome sequencing of SARS-CoV-2 viruses isolated from infected participants | From enrolment, and within 1 week of a participant being found to be SARS-CoV-2 positive by external testing |
| Exploratory | | |
| To characterise and compare the mucosal immune response to immunisation with homologous and heterologous COVID-19 vaccines in the immunology cohort using both nasal fluid (collected via SAM-strips) and saliva samples, | IgA & IgG ELISA and exploratory immunological assays | D0, 7, 14, 28, 56, 112, 294 |
| To further characterise the blood antibody response in the immunology cohort | Functional antibody assays | D0, 7, 14, 28, 56, 112, 294 |

8. TRIAL DESIGN

A single-blind, randomised, phase II UK multi-centre study to determine the reactogenicity and immunogenicity of heterologous boost COVID-19 vaccine schedules.

8.1. Setting

Multicentre study conducted through academic and NHS clinical trials sites.

8.2. Trial duration

Total duration of each participant will be 7-10 months from the enrolment. The total trial period will be approximately 1 year, 5 months

8.3. Study groups

A total of 1050 (+ up to an additional 10%) participants will be enrolled, all of whom will have had their first dose of COVID-19 vaccine in the community as part of the COVID-19 vaccine programme. Participants will be evenly divided by prime vaccine type (525 per prime vaccine group). Within these vaccine groups participants will be enrolled into either an Immunology cohort who will have more visits (n=150 in total, 75 from each vaccine prime group) or a General cohort (n=900 in total, 450 from each vaccine prime group).

Within each of these vaccine groups and cohorts participant will be randomised to receive either a homologous or heterologous boost as outlined below.

| Group (from population) | Cohort | Arm | Boost (Day 56-84) | Visits |
|-------------------------|-------------------|--------------|--------------------------|--------------------------------|
| ChadOx1-nCoV-19 | Immunology (N=75) | I2A1 (n=25) | ChAdOx1 nCoV-19 | Day 0, 7, 14, 28, 56, 112, 294 |
| | | I2A3 (n=25) | COVID-19 Vaccine Moderna | |
| | | I2A4 (n=25) | Novavax, NVXCoV2373 | |
| | General (N=450) | G2A1 (n=150) | ChAdOx1 nCoV-19 | Day 0, 28, 56, 112, 294 |
| | | G2A3 (n=150) | COVID-19 Vaccine Moderna | |
| | | G2A4 (n=150) | Novavax, NVXCoV2373 | |
| BNT162b2 | Immunology (N=75) | I2B1 (n=25) | BNT162b2 | Day 0, 7, 14, 28, 56, 112, 294 |
| | | I2B3 (n=25) | COVID-19 Vaccine Moderna | |
| | | I2B4 (n=25) | Novavax, NVXCoV2373 | |
| | General (N=450) | G2B1 (n=150) | BNT162b2 | Day 0, 28, 56, 112, 294 |
| | | G2B3 (n=150) | COVID-19 Vaccine Moderna | |

| | | | | |
|--|--|--------------|---------------------|--|
| | | G2B4 (n=150) | Novavax, NVXCoV2373 | |
|--|--|--------------|---------------------|--|

Randomisation will be stratified by the study group (prime vaccine), cohorts (immunology and general) and by study sites.

The Immunology cohort will have visits: 0, 7, 14, 28, 56, 112, 294

The General cohort will have visits: 0, 28, 56, 112, 294

The study will be single-blind, i.e. while staff involved in study delivery will be aware of what vaccine schedule the participant is receiving, the participant themselves will remain blinded to their boost vaccine. This blind will be maintained by applying a masking tape over the vaccine syringe. Laboratory staff will also be blinded to the vaccine schedule received.

Participants who acquire new infection with SARS-CoV-2 will have an additional study visit for clinical assessment, to take blood tests for immunological assessment and to take a sample for isolation of virus. They may also have nasal fluid and saliva samples taken.

Of note is that the interval between the BNT162b2 vaccines will be 56-84 days. This is consistent with this vaccine's Summary of Product Characteristics, which specifies that the interval be 'at least 21 days'.

On 10th February 2021 the WHO issued revised recommendations that the Oxford/AstraZeneca ChAdOx1-nCoV-19 vaccine be given at an 8-12 week boost interval (World Health 2021). The boost interval for all vaccine schedule combinations will be 56-84 days, this will therefore be in keeping with the WHO recommendations for the homologous ChAdOx1-nCoV-19 schedule, and will maintain blinding.

9. PARTICIPANT IDENTIFICATION

9.1. Trial participants

Adult volunteers aged at least 50 years. Comorbidities of clinical definition mild/moderate/well-controlled will be permitted. Individuals of all ethnicities will be recruited, with recruitment of those identifying as Black, Asian and Minority Ethnic particularly encouraged.

9.2. Inclusion criteria

- Participant is willing and able to give written informed consent for participation in the trial
 - Male or Female, aged 50 years or above and in good health as determined by a trial clinician
- Participants may have well controlled or mild-moderate comorbidity

- Has received one dose of the prime/boost schedules being studied via the UK COVID-19 vaccination programme at a timing to allow boost dose given in the trial to fall between D56-84 post-prime. Evidence of this will be gathered from medical history and/or medical records.
- Female participants of childbearing potential must be willing to ensure that they or their partner use effective contraception from enrolment continuously until 3 months after boost immunisation. See Section 12.14.1 for definition of child bearing potential
- In the Investigator's opinion, is able and willing to comply with all trial requirements
- Willing to allow their General Practitioner and consultant, if appropriate, to be notified of participation in the trial
- Willing to allow investigators to discuss the volunteer's medical history with their General Practitioner and access all medical records when relevant to study procedures
- Agreement to refrain from blood donation during the course of the study

9.3. Exclusion criteria

The participant may not enter the trial if ANY of the following apply:

- Receipt of any vaccine (licensed or investigational) within 30 days before enrolment (one week for licensed seasonal influenza vaccine or pneumococcal vaccine)
- Previous receipt of two or more COVID-19 vaccine doses
- Prior or planned receipt of an investigational or licensed vaccine or product likely to impact on interpretation of the trial data (e.g. Adenovirus vectored vaccines other than ChAdOx1 nCoV-19)
- Administration of immunoglobulins and/or any blood products within the three months preceding the planned administration of the vaccines
- Any confirmed or suspected immunosuppressive or immunodeficient state; asplenia; recurrent severe infections and use of immunosuppressant medication within the past 6 months, except topical steroids or short-term oral steroids (course lasting ≤ 14 days)
- History of anaphylaxis, allergic disease or reactions likely to be exacerbated by any component of study vaccines (e.g. hypersensitivity to the active substance or any of the SmPC/IB-listed ingredients of any study vaccine). This includes latex and polyethylene glycol/macrogol (PEG)
- Pregnancy, lactation or willingness/intention to become pregnant within 3 months post boost vaccine
- Malignancy requiring receipt of immunosuppressive chemotherapy or radiotherapy for treatment of solid organ cancer/haematological malignancy within the 6 months prior to enrolment. (Presence of basal cell carcinoma of the skin, cervical carcinoma in situ, prostate

- cancer under observation and breast cancer on hormone therapy as secondary prophylaxis are not excluded).
- Bleeding disorder (e.g. factor deficiency, coagulopathy or platelet disorder), or prior history of significant bleeding or bruising following IM injections or venepuncture
 - Continuous use of anticoagulants, such as coumarins and related anticoagulants (i.e. warfarin) or novel oral anticoagulants (i.e. apixaban, rivaroxaban, dabigatran and edoxaban)
 - Suspected or known current alcohol or drug dependency
 - Any other significant disease, disorder or finding which may significantly increase the risk to the volunteer because of participation in the study, affect the ability of the volunteer to participate in the study or impair interpretation of the study data
 - Severe and/or uncontrolled cardiovascular disease, respiratory disease, gastrointestinal disease, liver disease, renal disease, rheumatological disease, endocrine disorder and neurological illness (mild/moderate well controlled comorbidities are allowed)
 - History of active or previous auto-immune neurological disorders (e.g. multiple sclerosis, Guillain-Barre syndrome, transverse myelitis). Bell's palsy will not be an exclusion criterion
 - History of laboratory confirmed COVID-19 prior to enrolment (e.g. history of SARS-CoV-2 detection by PCR or antibody to SARS-CoV-2)
 - Significant renal or hepatic impairment
 - Scheduled elective surgery requiring overnight admission and/or general anaesthetic during the trial
 - Participant with life expectancy of less than 6 months
 - Participants who have participated in another research trial involving an investigational product in the past 12 weeks
 - Insufficient level of English language to undertake all study requirements in opinion of the Investigators

9.3.1. Temporary exclusion criteria

If at Visit 1 Screening/Vaccination the volunteer has any of the following, they will not be enrolled that day.

- Acute respiratory illness (moderate or severe illness with or without fever)
- Fever (oral temperature greater than 37.8°C)

They may be considered for enrolment later in the trial; if they recover in sufficient time.

10. TRIAL PROCEDURES

See APPENDIX A: SCHEDULE OF PROCEDURES

10.1. Recruitment

10.1.1. Identification of volunteers

Volunteers will be recruited by methods that may include use of an advertisement +/- registration form formally approved by the ethics committee(s) and distributed or posted by means such as:

- In public places, including buses and trains, with the agreement of the owner / proprietor
- In newspapers or other literature for circulation
- On radio via announcements
- On a website or social media site operated by our group or with the agreement of the owner or operator (including on-line recruitment through the Oxford Vaccine Group website)
- By e-mail distribution to a group or list only with the express agreement of the network administrator or with equivalent authorisation
- By email distribution to individuals who have already given consent to be contacted for any clinical trial at the Oxford Vaccine Centre and at trial sites including COVID-19 vaccine research
- Direct mail-out: This will involve obtaining names and addresses of adults via the most recent Electoral Roll. The contact details of individuals who have indicated that they do not wish to receive postal mail-shots would be removed prior to the investigators being given this information. The company providing this service is registered under the General Data Protection Regulation 2016/679. Investigators would not be given dates of birth or ages of individuals but the list supplied would only contain names of those aged ≥ 50 years (as per the inclusion criteria)
- Direct mail-out using National Health Service databases: These include the National Health Applications and Infrastructure Services (NHAIS) via a NHAIS data extract or equivalent. Initial contact to potential participants will not be made by the study team. Instead study invitation material will be sent out on our behalf by an external company, CFH Docmail Ltd, in order to preserve the confidentiality of potential participants. CFH Docmail Ltd is accredited as having exceeded standards under the NHS Digital Data Security and Protection Toolkit (ODS ID – 8HN70)

- Oxford Vaccine Centre databases and study site databases: We may contact individuals from databases of groups within the CCVTM (including the Oxford Vaccine Centre database) and other study sites of previous trial participants who have expressed an interest in receiving information about all future studies for which they may be eligible.
- Using local GP practices or Trusts as Participant Identification Centres (PICs)
- The NIHR COVID-19 vaccine volunteer database
- Participants who expressed interest, but were not enrolled, in the Com-COV trial (IRAS No: 291055) can be contacted to notify them of the initiation of Com-COV2 and that they may be eligible to participate.

10.2. Screening and eligibility assessment

10.2.1. Initial screening

Once participants express an interest in joining the trial, they will be directed to a 2 part online screening process. The first part will assess for obvious exclusion criteria. If they pass this part they will be asked to indicate their electronic consent to cover:

- Reporting their medical history, including COVID-19 vaccination status (part 2)
- Telephone screening visits to review their medical history (if required). Requirement to be determined by review of responses to Part 2 of online questionnaire)
- Permission to contact the participant's GP for further clarification of past medical history and vaccine status, should this be clinically indicated
- Permission to access their national immunisation record to confirm COVID-19 vaccine status if required

Once Part 1 and consent have been completed, they may progress to Part 2. Here they will be asked to give details of any medical comorbidities or medications. They will also be asked to upload evidence of the first COVID-19 vaccination, such as a photo of their COVID-19 vaccination card, or a screenshot of their vaccine record.

Participants without a past medical history or drug history that requires further review may be invited directly to enrolment/vaccination visits.

10.2.2. Telephone screening visit(s)

Participants for whom further clarification of eligibility is required, may be invited for telephone screening visit(s), which would then be completed by member(s) of the clinical team, based on the assessment of the part 2 responses. This will be recorded in a screening CRF. This will reduce

the amount of time participants have with the clinical team during their screening procedures, should they progress to Visit 1.

We may also contact the subject's general practitioner with the permission of the volunteer. GPs will be notified at the time of enrolment (vaccination) that the subject is taking part in the study.

Volunteers will be asked to contact the study team if there are significant changes to their health status between screening and their Visit 1.

10.2.3. Screening during Visit 1

The final eligibility assessment and D0 vaccination visit will be combined into Visit 1 (V1). See Section 10.6

10.3. Informed consent

The participant will personally sign and date the latest approved version of the Informed Consent form. A written version and verbal explanation of the Participant Information Sheet and Informed Consent will be presented to the participant of the participant detailing:

- The exact nature of the study
- What it will involve for the participant
- The implications and constraints of the protocol
- The known side effects and any risks involved in taking part
- The sample handling protocol – participants will be informed that anonymised samples taken during the study may be shared with study collaborators
- That individual results will not be shared with participants, with the exception of their enrolment COVID-19 antibody test. This would be done at the end of the study, if requested by the participant
- The Participant Information Sheet will be made available to the participant for an appropriate amount of time (where possible this will be a minimum of 24 hours) prior to consent being obtained. A video presentation of the Participant Information Sheet may be screened to an audience, or made available for them to access it remotely. However, participants will have the opportunity to individually question an appropriately trained and delegated researcher before signing consent.
- The following general principles will be emphasised:
 - Participation in the study is entirely voluntary
 - Refusal to participate involves no penalty or loss of medical benefits
 - The participant may withdraw from the study at any time

- The participant is free to ask questions at any time to allow him or her to understand the purpose of the study and the procedures involved
- That participants will not be sure whether they have received an approved COVID-19 vaccine schedule. This may have implications for any travel or other activities that may require individuals to be considered 'fully immunised'. Currently the 'Green Book' immunisation guidelines indicate that receipt of two 'spike protein' based vaccines (even if different vaccines) would mean no further vaccines doses are required, and it is anticipated that this will apply to the Novavax vaccine if it is approved. If this does not apply to the Novavax vaccine then the advice of the DSMB will be sought. This potential downside to study participation will be minimised by expedited analysis of blood samples for the primary endpoint to conduct the non-inferiority analysis.

Participants, like the general population, will not be exempt from following the contemporaneous government COVID-19 guidance to minimise viral transmission.

Samples taken as part of the study may be sent outside of the UK and Europe to laboratories in collaboration with the University of Oxford. These will be de-identified. Volunteers will be asked if they consent to indefinite storage of any leftover samples for use in other ethically approved research, this will be optional.

The participant will be allowed as much time as they wish to consider the information, and the opportunity to question the Investigator, their GP or other independent parties to decide whether they will participate in the study. Written informed consent will then be obtained by means of the participant dated signature, and dated signature of the person who presented and obtained the informed consent. The person who obtained the consent must be suitably qualified and experienced, and have been authorised to do so by the Chief/Principal Investigator and listed on the delegation log. A copy of the signed informed consent will be given to the participant. The original signed form will be retained at the research study site, in the CRF.

Updated information that require participants to be re-consented will be sent to participants and written re-consent requested at the earliest scheduled visit. If the earliest visit to occur is in the COVID-19 Pathway (C-19P), the participant may re-consent using an electronic signature for infection control purposes. Where appropriate, and when re-consenting in person is not possible (e.g. participants in self-isolation), participants may be contacted over the phone and an appropriately trained and delegated researcher will obtain re-consent. In this instance the

participant will sign the form (electronic or paper) and a copy will be signed by the researcher. The dates of signature may be different, and a copy containing both signatures will be provided to the participant at the next scheduled visit.

10.4. Randomisation

Computer generated randomisation list will be prepared by the study statistician. Participants will be randomised 1:1:1 to receive a single dose of either:

- The same vaccine as their prime dose (homologous schedule)
- COVID-19 Moderna (heterologous schedule)
- NVXCoV2373 (heterologous schedule)

Participants will be randomised using block randomisation. Random block sizes of 3 and 6 will be used. The randomisation will be stratified by the study sites, immunology/general cohorts and prime vaccines (ChadOx1-nCOV-19 /BNT162b2).

10.5. Blinding and code-breaking

The study will be single-blind. Staff involved in study delivery will be aware of which vaccine the participant is receiving (arm allocation); the participant themselves will remain blinded to their boost vaccine allocation. Vaccines will be prepared out of sight of the participant and the blind will be maintained by applying a masking tape over the vaccine syringe. Laboratory staff will also be blinded to the vaccine schedule received.

If the clinical condition of a participant necessitates unblinding of the participant, this will be undertaken according to a trial specific working instruction and group allocation sent to the attending physician. This will be done if unblinding is thought to be relevant and likely to change clinical management.

In order not to disadvantage participants in a rapidly changing landscape of rules affecting national and international travel as well as event attendance, we will make every effort to liaise with appropriate parties to ensure participants' vaccination status is recorded in the most suitable manner.

As of the 11th June 2021, the Department of Health and Social Care has confirmed that for any future domestic certification of vaccination status, clinical trial participants will not be disadvantaged, and will offered an "immunised" status regardless of the licencing status or vaccine schedule received. This status would be available to trial participants without any breakdown of individual vaccines received i.e. they would not require unblinding.

If, despite the availability of the above measure, there should still be the potential for disadvantage to participants that can be mitigated by unblinding then, with the agreement of the Trial Steering Committee, individual unblinding may be initiated to occur not sooner than 28 days post last vaccination received. This will allow reporting of adverse events within the 28-day post immunisation reporting window to occur without participant's knowledge of which vaccines they had received, thus protecting integrity of these data.

Should circumstances change further, and it be agreed (after discussion with the Trial Steering Committee) that despite the above measures the disadvantage to participants (as a group, rather than at an individual level) in remaining blinded outweighed that of benefit to the study, mass unblinding may be initiated.

Additionally, should participants remain blinded at the point of acceptance of an offer of a (third or greater) COVID-19 vaccine boost dose from the national immunisation program, they would be unblinded.

Regardless of methods or timings of any unblinding, laboratory staff will remain blinded to vaccines received.

10.6. Visits

The study visits and procedures will be undertaken by one of the clinical trials team. The procedures to be included in each visit are documented in the schedule of attendances (see APPENDIX A: SCHEDULE OF PROCEDURES). Each visit is assigned a time-point and a window period, within which the visit will be conducted. If a participant cannot attend a visit, where possible, this will be re-arranged to an in-person visit within the time window. A telephone visit may be conducted instead of the in-person visit to ascertain as much relevant information as possible if the participant is unable to attend a visit in person because of quarantine or self-isolation restrictions and the participant will be out of window if the visit is postponed.

10.6.1. Visit 1 (D0): Final eligibility check, enrolment and vaccination visit

10.6.1.1. Informed consent

The participant will have informed consent taken as described in Section 10.3, before proceeding to the final eligibility check component of V1. A video presentation of the aims of the study and all tests to be carried out may be screened to an audience or accessed remotely before informed consent is taken. Individually, each volunteer will have the opportunity to question an appropriately trained and delegated researcher before signing the consent.

10.6.1.2. Final eligibility check V1

During the final eligibility check component of Visit 1 (V1):

If written consent is obtained, the procedures indicated in the schedule of attendances will be undertaken including:

- Confirmation of medical history
- Confirmation of community prime vaccine received
- Physical examination (if required)
- Height and weight
- Blood tests including:
 - COVID-19 immunogenicity bloods
 - Baseline bloods for safety monitoring (routine haematology & biochemistry tests)
- Nasal fluid sample
- Saliva sample
- Observations (temperature, heart rate, respiratory rate, blood pressure and oxygen saturation)
- Urine pregnancy test in females of childbearing potential

The eligibility of the volunteer will be reviewed by a suitable member of the clinical team. Decisions to exclude the volunteer from enrolling in the trial or to withdraw a volunteer from the trial will be at the discretion of the Investigator. Note that the blood tests results from this visit will not ordinarily be available at the time the decision to proceed to immunisation with these approved vaccines is made. Instead, these blood tests will act as a baseline assessment for any subsequent derangements of laboratory measures. Abnormal clinical findings from blood tests at screening will be assessed by a medically qualified study member. Where available, these may be compared to blood test results taken prior to the trial as part of the participant's normal medical care, to ascertain if the derangement is an acute abnormality or is a chronic change. Abnormal blood tests following screening will be assessed according to site-specific laboratory adverse event grading tables. Any abnormal test result deemed clinically significant may be repeated to ensure it is not a single occurrence. If an abnormal finding is deemed to be clinically significant, the volunteer will be informed and appropriate medical care arranged with the permission of the volunteer.

As per Section 9.3.1 "Temporary exclusion criteria": If a volunteer has an acute respiratory illness (moderate or severe illness with/without fever) or a fever (oral temperature > 37.8°C) at Visit 1 Screening, the volunteer will not be enrolled that day, but may be considered for enrolment if they recover in sufficient time.

10.6.1.3. Vaccination at V1

Volunteers will be considered enrolled to the trial at the point of consent and successful screening. All vaccines will be administered intramuscularly according to specific SOPs. The participant will stay in the trial site for observation for at least 15 minutes, in case of immediate adverse events. Photographs of vaccination sites may be taken, if required (with the participants' written, informed consent) and will not include the participants' face. Photographs will be identified by date, trial code and subject's unique identifier. Participants will have their NHS COVID-19 vaccination record cards updated with the date of trial vaccination, but vaccine type or batch number will not be added as this would unblind participants. "COVID-19 vaccine", "Com-COV2 Trial" will be added. We will correspond with holders of the participant's medical information records (e.g. GP's) to enable updating of these records.

10.6.1.4. Diary cards

Participants will be given an oral thermometer, tape measure and diary card (electronic, but for those who are unable to use electronic diary cards, a paper version will be made available), with instructions on use. All participants will be given the emergency 24 hour telephone number to contact the on-call study physician if needed. Participants will be instructed on how to self-assess the severity of the solicited and unsolicited AEs they are entering in the diaries. There will also be space on the diary card to self-document unsolicited AEs, and whether medication was taken to relieve the symptoms. There will also be a separate e-diary to log any medically attended AEs up until 3 months post boost dose (any medical conditions for which a doctor/dentist is seen outside of routine, planned follow-up), and any serious medical illnesses or hospital visits may have occurred over the entire course of the study. Participants will be asked to report on solicited AEs for 7 days from boost dose (and longer if symptoms persist at day 7, until resolution or stabilisation of symptoms) and unsolicited AEs for 28 days. Diary cards will collect information on the timing and severity of the following solicited AEs:

Table 2 Solicited AEs collected on post vaccination diary cards

| | |
|-------------------------------|---|
| Local solicited AEs | Pain, Tenderness, Redness, Warmth, Itch, Swelling, Induration |
| Systemic solicited AEs | Fever, Feverishness, Chills, Joint pains, Muscle pains, Fatigue, Headache, Malaise, Nausea, Vomiting, Diarrhoea |

Post-vaccination (7 and 28 day) diary cards will be reviewed by a clinician daily, and participants may be telephoned to discuss further, should there be any clinical concerns.

Participants will also be instructed on the use of the Medically Attended Diary Card. They will be asked to record the following healthcare encounters up until 3 months post boost dose:

- GP visits that were not planned or routine
- Attendances at A&E
- Unplanned outpatient visits to hospital e.g. attending an “Ambulatory Care” unit
- Non-routine dental visits (i.e. dental emergency)

This information will be routinely reviewed. The diary card will contain an instruction to contact the trial team by telephone should any encounter be a hospitalisation, or if they have concerns about their health.

Participants entering the COVID-19 pathway will also be asked to complete a diary, see Section 10.6.6 below

10.6.2. Safety review

While there will be no planned safety pause, a review of reactogenicity data will be conducted after the initial 100 (approximately) participants have received their trial dose (approximately two thirds of which will be in the heterologous boost groups). This will assess reactogenicity in the first 48 hours after immunisation, with data presented by ‘anonymised’ group to the Chief Investigator (who will remain blinded to the specific vaccines received by each group). Should significant safety concerns arise at this point the DSMB will be consulted.

10.6.3. Subsequent visits

Follow-up visits will take place as per the schedule of attendances described in APPENDIX A: SCHEDULE OF PROCEDURES. Participants will be assessed for local and systemic adverse events, interim history, review of diary cards (paper or electronic) and blood/nasal fluid/saliva tests at these time points as detailed in the schedule of attendances. Blood will also be taken for immunology purposes. Observations and physical exam will be performed as and when clinically indicated.

If participants experience adverse events (laboratory or clinical), which the investigator (physician), CI and/or DSMB chair determine necessary for further close observation, the participant may be admitted to an NHS hospital for observation and further medical management under the care of the Consultant on call.

10.6.4. Participants under quarantine

Given the evolving epidemiological situation both globally and in the UK, should a participant be unable to attend any of their scheduled or unscheduled visits, a telephone consultation will be arranged in order to obtain core study data where possible. Participants should not attend for in-person visits if they are in their period of self-isolation/quarantine – the exception to this is the COVID-19 Pathway.

10.6.5. Timing of study visits around national COVID-19 immunisation '3rd dose' boosts

Participation in this study should not unreasonably delay a participant's receipt of any additional COVID-19 vaccine boost doses offered to them through the national immunisation program. This applies only to doses that are being offered as explicit 'third dose' boosts, in a recommended NHS programme. The V5 (last study visit) may be completed at any point in the visit window if it is to facilitate data collection prior to participant receipt of non-study boosts, with a goal for this visit to be completed at the latest date possible prior to the booster dose. Should participants not be offered non-study boosts, the V5 (last study visit) should be completed as close to the end of the visit window, as is feasible.

10.6.6. Participants with confirmed SARS-CoV-2 infection (COVID-19 pathway)

Participants will be counselled at enrolment that should they receive a positive SARS-CoV-2 test (e.g. an antigen detection or nucleic acid amplification test, for example, via test and trace or occupational health services) they should contact the trial team on receipt of the positive result. Participants will be reminded of this with a weekly text/email message (participant choice), which will commence after the first vaccine dose.

This COVID-19 pathway (C-19P) will apply to participants tested via symptomatic and asymptomatic pathways.

Once the participant has conveyed their result to the study team, and the study team confirm an appropriate test has been used (via verbal discussion with participant as to how testing was obtained). Confirmatory documentation may be sought from the participant (such as a forwarded email or a picture of a lateral flow assay result, but this is not essential). An appointment will be arranged to review the participant at the relevant study site. At this visit blood samples for safety (FBC, Biochemistry, CRP and others if deemed clinically relevant) and immunology (PBMCs and serum for cellular and humoral immune responses will be taken. Nasal fluid and saliva for mucosal immune response will be taken for those who receive this at their

regular study visits, along with a nasopharyngeal swab for storage and subsequent viral isolation from all participants. Vital signs and other clinical data will be recorded. Participants will also be provided with a symptom diary, which they will fill in both solicited and unsolicited symptoms for at least 7 days and until symptom resolution (excepting persistent cough and anosmia/dysgeusia as these are recognised to be able to continue for extended periods). Additional visits on this pathway may be arranged at the clinical discretion of the investigator.

Participants will only be invited to a C-19P visit if they have access to private transport and would not require assistance to attend the visit. Participants may not attend the visit using public transport or taxis.

The window for performing this visit is within 7 days of a positive test result.

Participants should be screened for severity of disease on contacting the trial team with their positive result and referred to NHS care as appropriate.

Table 3. Remote risk stratification of COVID-19 infection

| Severity of illness | Features | Advice and action |
|---------------------|--|---|
| Mild | Completing full sentences | Paracetamol for fever |
| | No SOB (Grade 0) | Can use NSAIDs according to NHS recommendations (advise lowest dose and shortest duration possible) |
| | No chest tightness (Grade 0) | Regular fluids |
| | Able to do ADLS (Grade 0-1) | Self-isolate as per current government guidelines |
| | RR 12-20 | Safety net re worsening symptoms: <ul style="list-style-type: none"> - Trial doctor for advice in hours (999 in an emergency) - 111 out of hours (non-emergent) |
| | No other red flags/concerning features from history | Paracetamol for fever |
| Moderate A | Completing full sentences | Can use NSAIDs according to NHS recommendations (advise lowest dose and shortest duration possible) |
| | Able to do ADLs but lethargic (Grade 1-2) | Regular fluids |
| | Mild chest tightness (Grade 1) | Self-isolate as per current government guidelines |
| | Mild SOB on exertion only (Grade 1) | Safety net re worsening symptoms: <ul style="list-style-type: none"> - Trial doctor for advice in hours (999 in an emergency) 111 out of hours (non-emergent) |
| | RR 12-20 (if can be observed) | |
| | Any symptoms from other systems considered to be moderate and not requiring medical review | |

| | | |
|---|--|---|
| | No other red flag features from history | |
| Moderate B | Completing full sentences | For medical review - Trial doctor to arrange medical review with a non-trial medical practitioner e.g. GP or hospital doctor (in-hours) - Trial doctor to signpost to NHS services (out-of hours) |
| | Able to do ADLs but lethargic (Grade 1-2) | Safety net – 999 if worsening beyond current symptoms |
| | Mild chest tightness (Grade 1-2) | Inform senior on-call clinician |
| | Mild SOB on exertion only (Grade 1) | |
| | RR 20-24 (if can be observed) | |
| | Any symptoms from other systems considered to be moderate and requiring medical review | |
| Severe | Any one of: | Urgent medical review |
| | Inability to complete full sentences | Advise participant to call 999 |
| | Unable to do any ADLs/get out of bed (Grade 3) | Inform senior on-call clinician |
| | RR >25 if can be observed | |
| | Any other clinical concerns for severe disease | |
| Of note, this is not an all-encompassing guide and individual clinical judgement by reviewing clinician should always be taken into account. Should the reviewing clinician have any concerns regardless of risk stratification then they can contact the appropriate senior clinician for further advice. | | |

10.6.7. Admission of participants to hospital with COVID-19 infection

With the participant’s consent, the study team will request access to medical notes or submit a data collection form for completion by attending clinical staff on any COVID-19 episodes resulting in hospitalisation. Any data which are relevant to assessing for disease enhancement will be collected. These are likely to include, but not limited to, information on ICU admissions, clinical parameters such as oxygen saturation, respiratory rates and vital signs, need for oxygen therapy, need for ventilatory support, imaging and blood tests results, amongst others.

10.7. Sample handling

Please refer to APPENDIX D: BLOOD SAMPLING for schedule of frequency and volume of blood sampling.

10.7.1. Sample handling for trial purposes

10.7.1.1. Immunology blood tests

Immunogenicity will be assessed by a variety of immunological assays. This will include antibodies to SARS-CoV-Spike and non-Spike antigens by ELISA, ex vivo ELISpot assays for

interferon gamma and flow cytometry assays, neutralising and other functional antibody assays. Other exploratory immunological assays including cytokine analysis and other antibody assays, DNA analysis of genetic polymorphisms potentially relevant to vaccine immunogenicity and gene expression studies amongst others may be performed at the discretion of the Investigators.

Collaboration with other specialist laboratories in the UK, Europe and outside of Europe for further exploratory tests may occur. This would involve the transfer of serum, plasma, PBMC and/or other study samples to these laboratories, but these would remain anonymised. The analyses and which laboratories carry these out will be specified in the laboratory analysis plan.

Participants will be informed that there may be leftover samples of their blood (after all testing for this study is completed), and that such samples may be stored indefinitely for possible future research (exploratory immunology), including genotypic testing of genetic polymorphisms potentially relevant to vaccine immunogenicity. Participants will be able to decide if they will permit such future use of any leftover samples. With the participants' informed consent, any leftover cells and serum/plasma will be frozen indefinitely for future analysis of COVID-19 and other coronaviruses related diseases or vaccine-related responses. If a participant elects not to permit this, all of that participants' leftover samples will be discarded at the end of the trial.

Samples that are to be stored for future research will be transferred to the OVC Biobank (REC 16/SC/0141).

10.7.1.2. Nasal fluid & saliva samples

An exploratory analysis of mucosal immunity will be conducted using nasal fluid collected at each visit in the immunology cohort (n=150), using SAM-strips (synthetic absorptive matrix) and saliva samples. All participants who have been enrolled to groups who will have SAM-strip and saliva sampling will also have SAM-strips and saliva taken at the C19P visit if they attend this visit. Analysis will be conducted initially with IgA and IgG ELISAs, with further exploratory immunology assays conducted based on results – more detail will be included in the laboratory analysis plan. The same statements regarding collaboration, storage and use of samples as for blood in Section 10.7.1.1 apply here.

10.7.1.3. Nasopharyngeal swabs

Participants seen in the C-19P will have nasopharyngeal swabs taken (instructions on performing sampling in CSP). These swabs will be tested for presence of the SARS-Cov-2 virus centrally. This analysis is for research purposes, and will not be conducted in 'real-time', so will not be used to inform the requirements for participant self-isolation etc. Swabs, and/or samples obtained from

them, will be stored for potential further analysis (e.g. whole genome sequencing of identified SARS-CoV-2).

10.7.2. Sample handling for standard of care

Urinary pregnancy testing: For female participants of child bearing potential only, urine will be tested for beta-human chorionic gonadotrophin (β -HCG) immediately prior to vaccination. This will be a point of care test and no sample will be stored.

10.7.2.1. Safety monitoring blood tests

These will be processed at agreed NHS Trust laboratories, and destroyed in accordance with standard NHS processes. They will include:

- Haematology – Full Blood Count
- Biochemistry – Sodium, Potassium, Urea, Creatinine, Albumin, Liver Function Tests (ALT, ALP, Bilirubin) and if relevant C-reactive protein (CRP)

10.8. Early discontinuation/Withdrawal of participants

In accordance with the principles of the current revision of the Declaration of Helsinki and any other applicable regulations, a participant has the right to withdraw from the study at any time and for any reason, and is not obliged to give his or her reasons for doing so. The Investigator may withdraw the participant at any time in the interests of the participants' health and well-being. In addition, the participant may withdraw/be withdrawn for any of the following reasons:

- Administrative decision by the Investigator
- Ineligibility (either arising during the study or retrospectively, having been overlooked at screening).
- Significant protocol deviation
- Participant non-compliance with study requirements
- An AE, which requires discontinuation of the study involvement or results in inability to continue to comply with study procedures

The reason for withdrawal will be recorded in the CRF. If withdrawal is due to an AE, appropriate follow-up visits or medical care will be arranged, with the agreement of the volunteer, until the AE has resolved, stabilised or a non-trial related causality has been assigned. The DSMB or DSMB chair may recommend withdrawal of participants.

If the participant chooses to withdraw after receipt of a vaccine, they will not be unblinded, unless criteria as described in 10.5 are met, as this will not change clinical action for them, as the UK immunisation Green Book states that two doses of any approved vaccine would not require

further boost doses, even if they are administered in a heterologous schedule and it is anticipated that this will apply to the Novavax vaccine if it is approved. If this does not apply to the Novavax vaccine then the advice of the DSMB will be sought.

If a participant withdraws from the study, storage of samples will continue unless the participant specifically requests otherwise. Any data collected before their withdrawal will still be used in the analysis for safety and trial integrity; if the participant requests this could be de-identified following the end of the study.

In cases of participant withdrawal, long-term safety data collection, including some procedures such as safety bloods, may continue as appropriate if participants have received any vaccine doses through the trial, unless they decline any further follow-up.

10.9. Definition of end of trial

The end of the trial is the date of the last assay conducted on the last sample collected.

11. TRIAL INTERVENTIONS

See APPENDIX A: SCHEDULE OF PROCEDURES for details

11.1. Investigational Medical Product(s) (IMP) Description

Table 4: The marketing authorisation and IMP labelling status of the vaccines:

| Vaccine | UK Marketing authorisation status | IMP labelling status |
|---|--|--|
| Oxford/AstraZeneca ChAdOx1-nCoV-19 | Approved for use under a temporary authorisation of the supply of an unlicensed vaccine; regulation 174 of the Human Medicines Regulations 2012. | Not IMP labelled, product will be used as supplied by manufacturer for national supply |
| Pfizer/BioNTech BNT162b2 | Approved for use under a temporary authorisation of the supply of an unlicensed vaccine; regulation 174 of the Human Medicines Regulations 2012. | Not IMP labelled, product will be used as supplied by manufacturer for national supply |
| COVID-19 Vaccine Moderna | Approved for use under a temporary authorisation of the supply of an unlicensed vaccine; regulation 174 of the Human Medicines Regulations 2012. | Not IMP labelled, product will be used as supplied by manufacturer for national supply |
| Novavax SARS-CoV-2 rS/Matrix-M1 Adjuvant | No marketing authority or emergency use approval currently | IMP labelling required |

11.1.1. Vaccine A – AstraZeneca COVID-19 vaccine (ChAdOx1 nCoV-19)

ChAdOx1 nCoV-19 is a recombinant replication-defective chimpanzee adenovirus expressing the SARS-CoV-2 spike (S) surface glycoprotein with a leading tissue plasminogen activator (TPA)

signal sequence. S is a type I, trimeric, transmembrane protein located at the surface of the viral envelope, giving rise to spike shaped protrusions from the virion. The S proteins subunits are responsible for cellular receptor ACE-2 binding via the receptor-binding domain and fusion of virus and cell membranes, thereby mediating the entry of SARS-CoV-2 into the target cells. The S protein has an essential role in virus entry and determines tissue and cell tropism, as well as host range.

ChAdOx1 nCoV-19 expresses a codon-optimised coding sequence for Spike protein from the SARS-CoV-2 genome sequence accession MN908947. ChAd is a non-enveloped virus, and the glycoprotein antigen is not present in the vector, but is only expressed once the genetic code within the vector enters the target cells. The vector genes are also modified to render the virus replication incompetent, and to enhance immunogenicity (Garafalo et al, 2020). Once the vector is in the nucleus, mRNA encoding the spike protein is produced that then enters the cytoplasm. This then leads to translation of the target protein which act as an intracellular antigen.

11.1.1.1. Dosage, scheduling and packaging

The dose of AstraZeneca COVID-19 vaccine is 0.5ml. The vaccine should be administered intramuscularly. The schedule will be one dose, at 10 weeks (+/- 2weeks) from community prime COVID-19 vaccine dose. The AstraZeneca vaccine is supplied in packs of 10 vials. Each vial contains 8 to 10 doses of vaccine, and is a colourless to slightly yellow, clear to slightly opaque liquid. Each dose is prepared by withdrawing 0.5 mL from a vial in a sterile 1 mL or equivalent syringe.

11.1.2. VACCINE B – Pfizer BioNTech (BNT162b2)

BNT162b2 is a lipid nanoparticle-formulated, nucleoside-modified mRNA vaccine that encodes trimerised SARS-CoV-2 spike glycoprotein. BNT162b2 encodes the SARS-CoV-2 full-length spike, modified by two proline mutations to lock it in the prefusion conformation and more closely mimic the intact virus with which the elicited virus-neutralizing antibodies must interact. mRNA vaccines use the pathogen's genetic code as the vaccine; this then exploits the host cells to translate the code and then make the target spike protein. The protein then acts as an intracellular antigen to stimulate the immune response. The mRNA is then degraded within days. The vaccine RNA is formulated in lipid nanoparticles (LNPs) for more efficient delivery into cells after intramuscular injection.

11.1.2.1. Dosage, scheduling and packaging

The dose of Pfizer BioNTech COVID-19 vaccine is 30µg contained in 0.3ml of the diluted vaccine. The schedule will be one dose, at 10 weeks (+/- 2 weeks) from community prime COVID-19 vaccine dose. Each pack of the Pfizer BioNTech vaccine contains 195 vials with 5 doses per vial (975 doses per pack). It is supplied with 0.9% sodium chloride diluent for injection plastic ampoules.

11.1.3. VACCINE C – COVID-19 Vaccine Moderna

COVID-19 Vaccine Moderna (mRNA-1273) encodes the S-2P antigen, consisting of the SARS-CoV-2 glycoprotein with a transmembrane anchor and an intact S1–S2 cleavage site. S-2P is stabilized in its prefusion conformation by two consecutive proline substitutions at amino acid positions 986 and 987, at the top of the central helix in the S2 subunit. The lipid nanoparticle capsule is composed of four lipids, and formulated in a fixed ratio of mRNA and lipid.

11.1.3.1. Dosage, scheduling and packaging

COVID-19 Vaccine moderna (mRNA-1273) is packaged in multidose vials containing 10 doses of 0.5 mL. Vials are packaged in a carton containing a total of ten multidose vials per carton. One dose (0.5 mL) contains 0.10 mg of mRNA (embedded in lipid nanoparticles). The schedule will be one dose, at 10 weeks (+/- 2 weeks) from community prime COVID-19 vaccine dose.

11.1.4. VACCINE D – Novavax, NVXCoV2373

Novavax, NVXCoV2373 is a nano-particle vaccine. It is constructed from the full-length wild-type (prototype Wuhan sequence) pre-fusion trimers of SARS-CoV2 spike glycoprotein. The native protein has been modified with several substitutions to limit protease cleavage and enhance thermal stability (the putative native furin cleavage site has been modified from RRAR to QQAQ and 2 proline substitutions (positions K986P and V987P) in the HR1 domain). It has also been optimised for expression in insect (*Spodoptera frugiperda*) Sf9 cells. The recombinant S-protein genes are cloned into a baculovirus vector before being transferred into Sf9 cells. These cells then produce the protein which is extracted and purified. It is co-formulated with a saponin-based adjuvant, Matrix-M1™.

11.1.4.1. Dosage, scheduling and packaging

The dose of NVXCoV2373 is 5 µg recombinant spike protein with 50 µg Matrix-M1 adjuvant (0.5ml). The schedule will be one dose, at 10 weeks (+/- 2 weeks) from community prime COVID-19 vaccine dose. The vaccine is supplied in 10 dose vials.

11.2. Blinding of IMPs

See Section 10.5 for details.

11.3. Storage of IMP

Vaccines will be stored in accordance with manufacturers' recommendations.

All movements of the study vaccines will be documented in accordance with existing standard operating procedure (SOP). Vaccine accountability, storage, shipment and handling will be in accordance with relevant SOPs and forms. To allow for participants to receive the vaccine in a short time period, multiple clinic locations may be used. In this instance vaccines will be transported in accordance with local SOP's and approvals as required.

11.3.1. Vaccine A – AstraZeneca COVID-19 vaccine (ChAdOx1 nCoV-19)

The AstraZeneca vaccine should be stored at +2°C to +8°C and has a shelf life of 6 months. Further packing down (splitting of packs) of lots to aid deployment can occur at 2-8 °C within its shelf life. Handling may occur for up to 2 hours at temperatures less than 25° C, prior to puncture. The vaccine does not contain any preservative. After first opening the vial, it should be used within 6 hours when stored at room temperature (up to 25° C). After this time, the vial must be discarded.

11.3.2. Vaccine B - Pfizer BioNTech (BNT162b2)

- Frozen unopened vial

The Pfizer BioNTech vaccine should be stored at -90°C to -60°C and has shelf life of 6 months. Unopened vials may be stored and transported at -25°C to -15°C for a single period of up to 2 weeks and can be returned to -90°C to -60°C.

- Thawed unopened vial

Once thawed, the vaccine may be stored for 1 month at 2-8°C. Within the 1-month shelf-life at 2 °C to 8 °C, up to 12 hours may be used for transportation. Prior to use, the unopened vial can be stored for up to 2 hours at temperatures up to 30 °C. Once thawed, the vaccine should not be re-frozen

- Handling of temperature excursions once removed from the freezer

Stability data indicate that the unopened vial is stable for up to: * 24 hours when stored at temperatures from -3 °C to 2 °C * a total of 4 hours when stored at temperatures from 8 °C to 30

°C; this includes the 2 hours at up to 30 °C detailed above. This information is intended to guide healthcare professionals only in case of temporary temperature excursion.

- Transfers if frozen vials stored at ultra-low temperature (<-60°C)

Closed-lid vial trays containing 195 vials removed from ultra-low temperature frozen storage (< -60 °C) may be at temperatures up to 25 °C for up to 5 minutes.

Open-lid vial trays, or vial trays containing less than 195 vials, removed from ultra-low temperature frozen storage (< 60°C) may be at temperatures up to 25 °C for up to 3 minutes.

After vial trays are returned to frozen storage following temperature exposure up to 25 °C, they must remain in frozen storage for at least 2 hours before they can be removed again.

- Transfers of frozen vials stored at -25°C to -15°C

Closed-lid vial trays containing 195 vials removed from frozen storage (-25°C to -15°C) may be at temperatures up to 25°C for up to 3 minutes.

Open-lid vial trays, or vial trays containing less than 195 vials, removed from frozen storage (-25°C to -15°C) may be at temperatures up to 25°C for up to 1 minute.

Once a vial is removed from the vial tray, it should be thawed for use.

- Diluted medicinal product

Chemical and physical in-use stability, including during transportation, has been demonstrated for 6 hours at 2 °C to 30 °C after dilution in sodium chloride 9 mg/mL (0.9%) solution for injection. From a microbiological point of view, unless the method of dilution precludes the risk of microbial contamination, the product should be used immediately. If not used immediately, in-use storage times and conditions are the responsibility of the user.

- Special precautions for storage

Store in a freezer at -90 °C to -60 °C. Store in the original package in order to protect from light. During storage, minimise exposure to room light, and avoid exposure to direct sunlight and ultraviolet light. Thawed vials can be handled in room light conditions.

11.3.3. Vaccine C – COVID-19 Vaccine Moderna

The COVID-19 Vaccine Moderna can be stored for 7 months at -25°C to -15°C. The unopened vaccine may be stored refrigerated at 2 degrees Centigrade to 8 degrees Centigrade, protected from light, for maximum 30 days. Within this period, up to 12 hours may be used for transportation. Once thawed the vaccine should not be re-frozen. The unopened vaccine may be

stored at 8°C to 25°C up to 24 hours after removal from refrigerated conditions. Punctured vial: Chemical and physical in-use stability has been demonstrated for 6 hours at 2°C to 25°C after initial puncture (within the allowed use period of 30 days at 2°C to 8°C and 24 hours at 8°C to 25°C). From a microbiological point of view, the product should be used immediately. If the vaccine is not used immediately, in-use storage times and conditions are the responsibility of the user.

- Special precautions for storage

Store frozen between -25 degrees Centigrade to -15 degrees Centigrade. Store in the original carton to protect from light. Do not store on dry ice or below -50 degrees Centigrade.

Transportation of thawed vials in liquid state at 2°C to 8°C: If transport at -50°C to -15°C is not feasible, available data support transportation of one or more thawed vials in liquid state for up to 12 hours at 2°C to 8°C (within the 30 days shelf life at 2°C to 8°C). Once thawed and transported in liquid state at 2°C to 8°C, vials should not be refrozen and should be stored at 2°C to 8°C until use.

11.3.4. Vaccine D – Novavax, NVXCoV2373

SARS-CoV-2 rS and Matrix-M1 adjuvant should be stored at 2°C to 8°C and not frozen. When properly stored, the product is stable for 6 months from the date of manufacture. Once a product vial has been punctured, all preformulated vaccine with Matrix-M1 adjuvant from the punctured vial should be administered within 6 hours. All SARS-CoV-2 rS vaccines which are diluted or mixed in the study site clinic or investigational pharmacy should be used within 1 hour of preparation.

11.4. Compliance with trial treatment

All vaccinations will be administered by the research team and recorded in the CRF. The study medication will be at no time in the possession of the participant and compliance will not, therefore, be an issue.

11.5. Accountability of the trial treatment

Accountability of the IMPs will be conducted in accordance with the relevant SOPs.

11.6. Concomitant medication

As set out by the exclusion criteria, volunteers may not enter the study if they have received: any vaccine other than the licensed seasonal influenza vaccine or pneumococcal vaccine in the 30 days prior to enrolment or there is planned receipt of any other vaccine within 30 days of each vaccination, any investigational product within 30 days prior to enrolment or if receipt is planned during the study period, or if there is any use of immunosuppressant medication within 6 months

prior to enrolment or if receipt is planned at any time during the study period (except topical steroids and short course of low dose steroids < 14 day). Concomitant medications taken at enrolment will be recorded, as will new medications taken within the 28 days after each immunisation. Subsequently only new medications taken in response to a medically attended adverse event up until 3 months post boost will be recorded.

11.7. Post-trial treatment

If any heterologous boost regimen is not found to be non-inferior participants who received this regimen will be advised of this. Decisions regarding the need for a boost dose, the nature of the boost dose and mode of delivery (e.g. NHS vs study site) will be made in consultation with the DSMB and study management group.

11.8. Other treatments (non-IMPs)

Participants will be advised that they may take paracetamol prophylactically after vaccine administration. This will be from the participants own supplies rather than supplied by the study team

11.9. Other interventions

There are no additional investigations other than those specified in this protocol.

12. SAFETY REPORTING

12.1. Safety reporting window

Safety reporting for the trial will commence once the first participant is consented; and will end 10 months after the last participant has received the first dose of an IMP for SAEs and Adverse Events of Special Interest (AESI)s.

For individual participants the reporting period begins when they are consented, in person at the V1 , and ends once they have completed the last study visit (V5) for SAE's and AESI's.

All adverse events (AEs) that result in a participants' withdrawal from the study will be followed up until a satisfactory resolution occurs, or until a non-study related causality is assigned (if the participant consents to this).

12.2. Adverse Event Definitions

| | |
|--|--|
| Adverse Event (AE) | Any untoward medical occurrence in a participant to whom a medicinal product has been administered, including occurrences which are not necessarily caused by or related to that product. |
| Adverse Reaction (AR) | <p>An untoward and unintended response in a participant to an investigational medicinal product which is related to any dose administered to that participant.</p> <p>The phrase "response to an investigational medicinal product" means that a causal relationship between a trial medication and an AE is at least a reasonable possibility, i.e. the relationship cannot be ruled out.</p> <p>All cases judged by either the reporting medically qualified professional or the Sponsor as having a reasonable suspected causal relationship to the trial medication qualify as adverse reactions.</p> |
| Adverse Events of Special Interest (AESI) | Adverse events identified as being of particular relevance to the IMP's. These will also reported as an SAE, if meeting SAE criteria (e.g. hospitalisation) |
| Serious Adverse Event (SAE) | <p>A serious adverse event is any untoward medical occurrence that:</p> <ul style="list-style-type: none"> - Results in death - Is life-threatening - Requires inpatient hospitalisation or prolongation of existing hospitalisation - Results in persistent or significant disability/incapacity - Consists of a congenital anomaly or birth defect* <p>Other 'important medical events' may also be considered a serious adverse event when, based upon appropriate medical judgement, the event may jeopardise the participant and may require medical or surgical intervention to prevent one of the outcomes listed above.</p> <p>NOTE: The term "life-threatening" in the definition of "serious" refers to an event in which the participant was at risk of death at the time of the event; it does not refer to an event which hypothetically might have caused death if it were more severe.</p> |
| Serious Adverse Reaction (SAR) | An adverse event that is both serious and, in the opinion of the reporting Investigator, believed with reasonable probability to be due to one of the trial treatments, based on the information provided. |
| Suspected Unexpected Serious Adverse Reaction (SUSAR) | A serious adverse reaction, the nature and severity of which is not consistent with the Reference Safety Information for the medicinal product in question set out: |

| | |
|--|--|
| | <ul style="list-style-type: none">- In the case of a product with a marketing authorisation, in the approved summary of product characteristics (SmPC) for that product- In the case of any other investigational medicinal product, in the approved investigator's brochure (IB) relating to the trial in question |
|--|--|

NB: to avoid confusion or misunderstanding of the difference between the terms “serious” and “severe”, the following note of clarification is provided: “Severe” is often used to describe intensity of a specific event, which may be of relatively minor medical significance. “Seriousness” is the regulatory definition supplied above.

12.3. Assessment results outside of normal parameters as AEs and SAEs

12.3.1. Clinical

Abnormal clinical findings from medical history or examination will be assessed as to their clinical significance throughout the trial. If an abnormal finding is deemed to be clinically significant, the participant will be informed and appropriate medical care arranged with the permission of the participant as per Section 12.6.

12.3.2. Laboratory

Abnormal clinical findings from safety blood tests will be assessed by a medically qualified study member. Laboratory AEs will be assessed using specific toxicity grading scales adapted from the FDA Toxicity Grading Scale for Healthy Adult and Adolescent Volunteers Enrolled in Preventive Vaccine Clinical Trials (APPENDIX C: Toxicity grading scale for Laboratory AEs).

Any abnormal test result deemed clinically significant may be repeated to ensure it is not a single occurrence, if deemed appropriate to do so in the medical opinion of the investigator.

If a repeated test remains clinically significant, the participant will be informed and appropriate medical care arranged as appropriate and with the permission of the volunteer.

12.4. Assessment of severity

The severity of clinical and laboratory adverse events will be assessed according to scales based on FDA toxicity grading scales for healthy adult volunteers enrolled in preventive vaccine clinical trials, listed in the Clinical Study Plan and in Table 5Table 6, Table 7 below.

Table 5: Severity grading for local adverse events

| Adverse Event | Grade | Intensity |
|---|-------|---|
| Pain at injection site | 1 | Pain that is easily tolerated |
| | 2 | Pain that interferes with daily activity |
| | 3 | Pain that prevents daily activity |
| | 4 | A&E visit or hospitalization |
| Tenderness | 1 | Mild discomfort to touch |
| | 2 | Discomfort with movement |
| | 3 | Significant discomfort at rest |
| | 4 | A&E visit or hospitalization |
| Erythema at injection site* | 1 | 2.5 - 5 cm |
| | 2 | 5.1 - 10 cm |
| | 3 | >10 cm |
| | 4 | Necrosis or exfoliative dermatitis |
| Induration/Swelling at injection site | 1 | 2.5 – 5 cm and does not interfere with activity |
| | 2 | 5.1 - 10 cm or interferes with activity |
| | 3 | >10 cm or prevents daily activity |
| | 4 | Necrosis |
| *erythema \leq2.5cm is an expected consequence of skin puncture and will therefore not be considered an adverse event | | |

Table 6: Severity grading criteria for physical observations.

| Vital Signs | Grade 1 (mild) | Grade 2 (moderate) | Grade 3 (severe) | Grade 4 Potentially Life threatening |
|----------------------------|----------------|--------------------|------------------|---|
| Fever (Oral - °C) | 38.0 - 38.4 | 38.5 – 38.9 | 39.0 - 40 | > 40 |
| Tachycardia (bpm)* | 101 - 115 | 116 – 130 | >130 | A&E visit or hospitalisation for arrhythmia |
| Bradycardia (bpm)** | 50 – 54 | 45 – 49 | <45 | A&E visit or hospitalisation for arrhythmia |

| | | | | |
|--|-----------|-----------|------|---|
| Systolic hypertension (mmHg) | 141 - 150 | 151 – 155 | ≥155 | A&E visit or hospitalization for malignant hypertension |
| Diastolic hypertension (mmHg) | 91 - 95 | 96 – 100 | >100 | A&E visit or hospitalization for malignant hypertension |
| Systolic hypotension (mmHg)*** | 85 - 89 | 80 – 84 | <80 | A&E visit or hospitalization for hypotensive shock |
| Respiratory Rate (breaths per minute) | 17 - 20 | 21-25 | >25 | Intubation |
| *Taken after ≥10 minutes at rest **When resting heart rate is between 60 – 100 beats per minute. Use clinical judgement when characterising bradycardia among some healthy subject populations, for example, conditioned athletes. ***Only if symptomatic (e.g. dizzy/ light-headed) | | | | |

Table 7: Severity grading for local and systemic AEs

| GRADE 0 | None |
|----------------|--|
| GRADE 1 | Mild: Transient or mild discomfort (< 48 hours); No interference with activity; No medical intervention/therapy required |
| GRADE 2 | Moderate: Mild to moderate limitation in activity – some assistance may be needed; no or minimal medical intervention/therapy required |
| GRADE 3 | Severe: Marked limitation in activity, some assistance usually required; medical intervention/therapy required. |
| GRADE 4 | Potentially Life-threatening: Requires assessment in A&E or hospitalisation |

12.5. Assessment of causality

For every AE, an assessment of the relationship of the event to the administration of the vaccine will be undertaken by the CI-delegated clinician. An interpretation of the causal relationship of the intervention to the AE in question will be made, based on the type of event; the relationship of the event to the time of vaccine administration; and the known biology of the vaccine therapy. Alternative causes of the AE, such as the natural history of pre-existing medical conditions, concomitant therapy, other risk factors and the temporal relationship of the event to vaccination will be considered and investigated. Causality assessment will take place during planned safety reviews, interim analyses (including if the study is paused by the DSMB due to safety concerns) and at the final safety analysis, except for SAEs, which should be assigned by the reporting investigator, immediately, as described in SOP OVC005 Safety Reporting for CTIMPs. Causality assessment will be recorded on the eCRF.

Table 8: Guidelines for assessing the relationship of vaccine administration to an AE.

| | | |
|----------|-----------------|--|
| 0 | No relationship | No temporal relationship to study product and Alternate aetiology (clinical state, environmental or other interventions); and Does not follow known pattern of response to study product |
| 1 | Unlikely | Unlikely temporal relationship to study product and Alternate aetiology likely (clinical state, environmental or other interventions) and Does not follow known typical or plausible pattern of response to study product. |
| 2 | Possible | Reasonable temporal relationship to study product; or Event not readily produced by clinical state, environmental or other interventions; or Similar pattern of response to that seen with other vaccines |
| 3 | Probable | Reasonable temporal relationship to study product; and Event not readily produced by clinical state, environment, or other interventions or Known pattern of response seen with other vaccines |
| 4 | Definite | Reasonable temporal relationship to study product; and Event not readily produced by clinical state, environment, or other interventions; and Known pattern of response seen with other vaccines |

12.6. Procedures for reporting Adverse Events

12.6.1. Solicited AEs

Participants will be asked to record local and systemic AE's for 7 days (and longer if symptoms persist at day seven, until resolution or stabilisation) following vaccination in the electronic diary (solicited AEs).

12.6.2. Unsolicited AEs

All local and systemic AEs occurring in the 28 days following each vaccination observed by the Investigator or reported by the participant, whether or not attributed to study medication, will be recorded in electronic diaries or study database. All AEs that result in a participants' withdrawal from the study will be followed up until a satisfactory resolution occurs, or until a non-study related causality is assigned (if the participant consents to this) as per Section 10.8.

SAEs and AESIs will be actively solicited at each study visit throughout the entire trial period.

12.6.3. Medically Attended AEs

A medically attended AE, is defined as any adverse event for which the participant seeks medical attention either at hospital or from primary care. This explicitly excludes seeking medical attention solely for a SARS-CoV2 test. Participants will be asked to record any medically attended AEs on their diary cards. Medically attended AEs occurring up to 3 months post boost, will be directly solicited and reviewed at each study visit.

12.7. Reporting procedures for Serious Adverse Events

In order to comply with current regulations on SAE reporting to regulatory authorities, the event will be documented accurately and notification deadlines respected. SAEs will be reported to members of the study team immediately the Investigators become aware of their occurrence, as described in the clinical study plan. Copies of all reports will be forwarded for review to the Chief Investigator (as the Sponsor's representative) within 24 hours of the Investigator being aware of the suspected SAE. The DSMB will be notified of SAEs that are deemed possibly, probably or definitely related to study interventions; the chair of DSMB will be notified immediately (within 24 hours) of the sponsor being aware of their occurrence. SAE/AESIs will not normally be reported immediately to the ethical committee(s) unless there is a clinically important increase in occurrence rate, an unexpected outcome, or a new event that is likely to affect safety of trial participants, at the discretion of the Chief Investigator and/or DSMB. In addition to the expedited reporting above, the Investigator shall include all SAE/AESIs in the annual Development Safety Update Report (DSUR) report provided for COM-COV and COM-COV2.

Grade 4 laboratory AEs should be reported as SAEs and under the category of outcome of an important medical event.

Cases falling under the Hy's Law should be reported as SAEs. A Hy's Law Case is defined by FDA Guidance for Industry "Drug-Induced Liver Injury: Premarketing Clinical Evaluation" (2009). Any study participant with an increase in Aspartate Aminotransferase (AST) or **Alanine Aminotransferase (ALT) $\geq 3x$ Upper Limit of Normal (ULN) together with Total Bilirubin $\geq 2x$ ULN, where no other reason can be found to explain the combination of these abnormal results**, e.g., elevated serum alkaline phosphatase (ALP) indicating cholestasis, viral hepatitis A, B or C, another drug capable of causing the observed injury, amongst others.

In participants who have received the ChAdOx1-nCoV-19 vaccine in this study, SAE's will be reported to AstraZeneca according to the conditions and timelines outlined in the Com-COV2 Protocol

contemporaneous version of the 'Pharmacovigilance Agreement by and between AstraZeneca UK Limited and Oxford University Innovation Limited for ChAdOx1 nCoV-19/AZD1222'.

In participants who have received the Novavax vaccine in this study, SAE's will be reported to Novavax according to the conditions and timelines outlined in the contemporaneous version of the Clinical Trial Agreement between Novavax and the University of Oxford University for conduct of this study.

12.7.1. Events exempt from immediate reporting as SAEs

Hospitalisation for a pre-existing condition, including elective procedures planned prior to study entry, which has not worsened, does not constitute a serious adverse event. A&E attendances should not routinely be reported as SAEs unless they meet the SAE definition described above.

12.8. Expectedness

12.8.1. SAEs

12.8.1.1. AstraZeneca COVID-19 vaccine (ChAdOx1 nCoV-19)

If an SAE is considered as being an SAR to ChAdOx1 nCoV-19, section 4.8 of the Vaxzevria Summary of Product Characteristics will be used as the reference safety information to determine expectedness.

12.8.1.2. Pfizer BioNTech (BNT162b2)

If an SAE is considered as being an SAR to BNT162b2, section 4.8 of the BNT162b2 Summary of Product Characteristics will be used as the reference safety information to determine expectedness.

12.8.1.3. COVID-19 Vaccine Moderna

If an SAE is considered as being an SAR to Moderna, section 4.8 of the Spikevax Summary of Product Characteristics will be used as the reference safety information to determine expectedness.

12.8.1.4. Novavax, NVXCoV2373

No SAEs expected

12.8.2. Foreseeable Adverse Reactions

The foreseeable ARs following vaccination are as follows:

12.8.2.1. AstraZeneca COVID-19 vaccine (ChAdOx1 nCoV-19)

Please see section 4.8 of the Vaxzevria Summary of Product Characteristics

12.8.2.2. Pfizer BioNTech (BNT162b2)

Please see section 4.8 of the BNT162b2 Summary of Product Characteristics

12.8.2.3. COVID-19 Vaccine Moderna

Please see section 4.8 of the Spikevax Summary of Product Characteristics

12.8.2.4. Novavax, NVXCoV2373

(From the IB report of data from clinical trials)

- Reactogenicity is generally mild, and vaccinations were well tolerated.
- Following first vaccination, local reactogenicity is more frequent for the SARSCoV-2 rS/Matrix-M1 adjuvant regimens than the unadjuvanted or placebo regimens.
- Tenderness and pain were the most frequent local AEs.
- Systemic reactogenicity were individually less frequent but were observed with greater frequency in the SARS-CoV-2 rS/Matrix-M1 adjuvant groups.
- Headache, fatigue, and myalgia were the most frequent systemic AEs.
- Following second vaccination, SARS-CoV-2 rS/Matrix-M1 adjuvant induced greater local and systemic reactogenicity, but the majority of reported symptoms remained at grade ≤ 1 .
- Mean duration of reactogenicity events was ≤ 2 days without appreciable change in duration with second vaccination.
- Severe reactogenicity was infrequent (2 events after Dose 1 and 8 events after Dose 2), occurring more often with second vaccination and for systemic events, with placebo subjects citing similar frequencies as those receiving SARS-CoV-2rS.
- No subjects sought medical intervention or refused second vaccination because of reactogenicity.

12.9. Adverse Events of Special Interest

Table 9: AESIs

| | | |
|---------------------|--|--|
| Immunologic | Anaphylaxis | |
| Neurological | Isolated anosmia/ageusia* Guillain-Barre Syndrome | Meningoencephalitis Peripheral facial nerve palsy |

| | | |
|-------------------------|--|---|
| | Acute disseminated encephalomyelitis (ADEM) Aseptic meningitis | Generalised convulsion Myelitis |
| Haematological | Thrombosis** Stroke Thrombocytopenia*** Eosinophilia**** | Coagulation disorder (includes coagulopathy, thrombosis, thromboembolism, internal/external bleed and stroke) |
| Cardiac | Acute cardiovascular injury (includes myocarditis, pericarditis, arrhythmias, heart failure, infarction) | |
| Dermatological | Chilblain-like lesions Single organ cutaneous vasculitis | Erythema multiforme Alopecia |
| Gastrointestinal | Acute liver injury †† † | Appendicitis |
| Respiratory | ARDS†† | |
| Renal | Acute kidney injury | |
| Other | COVID-19 disease† | SARS-CoV2 positivity on a validated test |

*In the absence of COVID-19

** Excluding superficial thrombophlebitis (including line-associated)

*** G3 or above

**** This will be used as a marker of skewed Th2 responses and will be routinely monitored in participants attending the COVID-19 Pathway and follow-up visits. Only G2 and above.

† In particular, any occurrence of suspected vaccine associated enhanced disease (VAED) as defined by most recent Brighton Collaboration Case Definition (REF)

†† In the absence of an infective aetiology (including COVID-19)

†† † As defined in Hy's Law (see Cases falling under the Hy's Law should be reported as SAEs. A Hy's Law Case is defined by FDA Guidance for Industry "Drug-Induced Liver Injury: Premarketing Clinical Evaluation" (2009). Any study participant with an increase in Aspartate Aminotransferase (AST) or **Alanine Aminotransferase (ALT) ≥ 3x Upper Limit of Normal (ULN) together with Total Bilirubin ≥ 2xULN, where no other reason can be found to explain the combination of these abnormal results**, e.g., elevated serum alkaline phosphatase (ALP) indicating cholestasis, viral hepatitis A, B or C, another drug capable of causing the observed injury, amongst others.)

AESIs should be collected and recorded in the AE reporting form in REDCap throughout the duration of this study. These should also be reported as SAEs if they fulfil the definition criteria for SAEs. All AESI's not already reported as SAEs should be included in the reports to the DSMB.

12.9.1. Disease enhancement following vaccination

Severe COVID-19 disease will be defined as hospitalisation, with further grading of severity according to the WHO ordinal scale (June 2020) (WHO Working Group 2020). Cases of COVID-19 disease will be examined for the possibility of vaccine associated enhanced disease (VAED). This will be evaluated on the basis of the most recent recommendations of the Brighton Collaboration (Brighton Collaboration 2019). Detailed clinical parameters will be collected from medical records and aligned with agreed definitions, as they emerge. Samples will be collected for evaluation of immunological evidence of VAED. Investigations will be defined by the laboratory analysis plan.

12.10. SUSAR reporting

All SUSARs will be reported by the sponsor delegate to the relevant Competent Authority and to the REC and other parties as applicable. For fatal and life-threatening SUSARs, this will be done no later than 7 calendar days after the Sponsor or delegate is first aware of the reaction. Any additional relevant information will be reported within 8 calendar days of the initial report. All other SUSARs will be reported within 15 calendar days.

Principal Investigators will be informed of all SUSARs for the relevant IMP for all studies with the same Sponsor, whether or not the event occurred in the current trial.

12.11. Development Safety Update Reports

A Development Safety Update Report (DSUR) will be prepared annually, reporting on this study and 'Comparing COVID-19 Vaccine Schedule Combinations (Com-COV) (Ethics Ref: 21/SC/0022, IRAS Project ID: 291055, within 60 days of the anniversary of the MHRA approval for the 'first' COMCOV study. The DSURs will be submitted to the Competent Authority, Ethics Committee, HRA (where required), Host NHS Trust and Sponsor.

As per the trial's Pharmacovigilance Plan, AZ and Novavax will be responsible respectively for generating DSUR reports for Com-COV studies. Sponsor will be responsible for generating DSUR reports for all other vaccines.

12.12. Interim reviews

The safety profile will be assessed on an on-going basis by the Investigators. The CI and relevant Investigators (as per the trial delegation log) will also review safety issues and SAEs as they arise. A review of reactogenicity data will occur after the first 100 (approximately) participants have been boosted, as per section 10.6.2

The DSMB will evaluate safety data every 4-8 weeks and/or as required and will review safety data accumulated when the study is fully recruited. The DSMB may also be consulted should safety concerns arise at any point.

12.13. Safety holding rules

There will be no formal pausing rules given the extensive safety database for all vaccines used in this study, however reactogenicity data will be reviewed after the first 100 (approximately) participants have received a boost dose.

The study can be paused upon advice of the DSMB, Chief Investigator, Study Sponsor, regulatory authority, Ethical Committee(s), for any single event or combination of multiple events which, in their professional opinion, jeopardise the safety of the participants or the reliability of the data.

12.14. Contraception and pregnancy

12.14.1. Contraception

Female participants of childbearing potential are required to use an effective form of contraception from enrolment continuously until three months after boost immunisation. A woman of childbearing potential is defined as a pre-menopausal female who is capable of becoming pregnant. Menopause can be diagnosed in a woman aged over 50 after one year of amenorrhoea (this applies only if the woman is not using hormonal contraception).

Acceptable forms of contraception for volunteers of female sex include:

- Established use of oral, injected or implanted hormonal methods of contraception
- Placement of an intrauterine device (IUD) or intrauterine system (IUS)
- Total hysterectomy
- Bilateral Tubal Occlusion
- Barrier methods of contraception (condom or occlusive cap with spermicide)
- Male sterilisation, if the vasectomised partner is the sole partner for the subject
- True abstinence, when this is in line with the preferred and usual lifestyle of the subject
(Periodic abstinence and withdrawal are not acceptable methods of contraception)

12.14.2. Pregnancy

Should a participant become pregnant during the trial, no further study IMP will be administered. They will be followed up for clinical safety assessment with their ongoing consent and in addition will be followed until pregnancy outcome is determined. We would not routinely perform venepuncture in a pregnant participant unless there is clinical need.

13. STATISTICS

13.1. Sample size

The primary analysis of this study will be a non-inferiority comparison between schedules using a homologous versus heterologous boost within each group of approved COVID-19 vaccines, e.g., Group ChAdOx1 nCOV-19/ Novavax, NVXCoV2373 will be compared with group ChAdOx1 nCOV-19 / ChAdOx1 nCOV-19 and group BNT162b2/Novavax, NVXCoV2373 will be compared with group BNT162b2/ BNT162b2, separately. We will combine the immunology cohorts and the general cohorts in the primary analysis.

The current available data from the ongoing ChAdOx1 nCoV-19 trial suggests the GMC of anti-spike IgG measured by standardised ELISA is around 859 EU/ml at D28 post boost dose (4 weeks after boost at D56 -D84) among participants aged 40-55 years old (n=47) with a standard deviation of 0.4. As the study population is a relatively older population and their first vaccine was received in non-trial settings, we decide to inflate the variation by 30%.

The sample calculation is based on the following assumptions:

1. The non-inferiority margin is 0.63 fold-difference between the GMC in the heterologous boost arm and that in the homologous boost arm or -0.2 absolute difference of GMC on log scale (base 10).
2. The standard deviation of GMC on log scale (base 10) is $0.4 \times 1.3 = 0.456$.
3. The true difference of GMC on log scale (base 10) is 0.

Based on the above assumptions, the study will need to recruit 131 participants who are seronegative at baseline in each arm to achieve 90% of power at one-sided 1.25% significance level. We assume ~25% of study participants will be excluded from the primary analysis due to seropositivity at baseline or loss of follow-up. Therefore, the sample size in each arm will be expanded to 175. This means that if the study has two vaccines the desired total sample size will be 1050 for six arms. The final sample size is set at 1050 plus up to an additional 10% to allow for flexibility given rapid recruitment across multiple sites. If we decide to add groups as new vaccines are made available for use by the Department of Health and Social Care, the sample sizes will be adapted accordingly. The immunology cohort will be used for exploratory analyses to generate hypothesis, and thus no formal sample size calculation was carried out for this cohort. The sample size of 25 per arm was therefore chosen based on practical constraints. This means we will have around 20 seronegative participants in each arm for analysis.

Of note, should a correlate of protection against SARS-CoV-2 infection become apparent during the study then the sample size calculations will be re-visited to determine the power to demonstrate non-inferiority based on a margin of 10% between the above study arms, and potentially revised on this basis. Based on the sample size anticipated for two vaccines in the study, we summarised the study power for different proportion of protection at one-sided significant level 0.05 (with no adjustment for multiple testing).

| Proportion of protection | Study power |
|--------------------------|-------------|
| 0.85 | 73% |
| 0.9 | 85% |
| 0.95 | 98% |

13.2. Description of statistical methods

The primary endpoint is anti-spike IgG measured by standardised ELISA at Day 28 post boost. The geometric mean concentrations (GMC) of anti-spike IgG will be compared between heterologous boost arms and homologous boost arms under the hypothesis:

H₀: $\text{GMC}_{\text{heterologous}} / \text{GMC}_{\text{homologous}} \leq 0.63$ or $\log_{10} \text{GMC}_{\text{heterologous}} - \log_{10} \text{GMC}_{\text{homologous}} \leq -0.2$;

H₁: $\text{GMC}_{\text{heterologous}} / \text{GMC}_{\text{homologous}} > 0.63$ or $\log_{10} \text{GMC}_{\text{heterologous}} - \log_{10} \text{GMC}_{\text{homologous}} > -0.2$.

The anti-spike IgG titre will be transformed using logarithmic transformations (base 10) to render a normal distribution. We will test the above hypothesis using the multiple regression on $\log_{10}\text{GMC}$ adjusting for randomisation design variables, and the pre-specified prognostic factors, if any. The adjusted mean difference of $\log_{10}\text{GMC}$ will be presented with the one-sided 98.75% confidence interval (CI). We will claim heterologous boost arm is non-inferior to homologous boost arm if the lower CI lies above -0.2.

The primary analysis will be conducted on a per-protocol basis among participants who are seronegative at baseline and whose primary endpoint at D28 post boost is available, as the intent to treat analysis is no longer producing a conservative estimation in non-inferiority trials. The modified intent to treat analysis will also be conducted as a sensitivity analysis. The primary analysis will be carried out when the primary endpoint of D28 post boost anti-spike IgG data become available.

A fully detailed statistical analysis plan will be prepared and will be signed off by the Chief Investigator prior to conducting any data analyses.

13.3. Missing data

The level and pattern of the missing data in the baseline variables will be reported. The potential causes of any missing data will be investigated and documented as far as possible. Any missing data will be dealt with, if needed, using methods appropriate to the conjectured missing mechanism and level of missing.

13.4. Interim analyses

In light of the MHRA and JCVI updating their guidance regarding the use of ChAdOx1 nCoV-19 in the UK (Joint Committee on and Immunisation 2021, Medicines and Healthcare products Regulatory Agency 2021, Medicines and Healthcare products Regulatory Agency 2021), along with the change of guidance in other countries worldwide, there is an increased urgency to release the reactogenicity data in heterologous schedules. The study team published the early reactogenicity data from Com-COV on 12th May 2021, and decided to conduct a similar interim analysis on the reactogenicity data in Com-COV2, in consultation with the Trial Steering Committee, to extend the understanding of heterologous schedules using Moderna and Novavax as a boost vaccine. The analysis will be carried out once the data is cleaned and the Study Analysis Plan is signed off. There will be no stopping rule for this interim analysis and the analysis will not affect the continuation of the trial.

14. DATA MANAGEMENT

The Chief Investigator will be responsible for all data that accrues from the study.

14.1. Access to Data & Data Protection

Direct access will be granted to authorised representatives from the Sponsor, host institution and the regulatory authorities to permit trial-related monitoring, audits and inspections. The study protocol, documentation, data and all other information generated will be held in strict confidence. No information concerning the study or the data will be released to any unauthorised third party, without prior written approval of the sponsor.

14.2. Data Recording

All study data including participant diary will be recorded directly into an EDC system (REDCap) or onto a paper source document for later entry into EDC if direct entry is not available. This includes safety, laboratory and outcome data. Any additional information that needs recording, but is not relevant for the eCRF (e.g signed consent forms) will be recorded on separate paper

source documents. All documents will be stored safely and securely in confidential conditions. The EDC online data is stored on University of Oxford servers.

All participant reported adverse event data (both solicited & unsolicited) will be entered onto electronic diary cards (e-diaries) for a maximum of 28 days following administration of the IMP. The eDiary provides a full audit trail of edits and will be reviewed at time-points as indicated in the schedule of events. Any adverse event continuing beyond the period of the diary will be copied into the eCRF as required for safety review.

The participants will be identified by a unique trial specific number and code in any database. The name and any other identifying detail will NOT be included in any trial data electronic file, with the exception of the electronic diaries, for which consent will be obtained to store the participant email address for quality control purposes. Only site research staff and sponsor data managers have access to view the email address.

The EDC system (CRF data) uses a relational database (MySQL/ PostgreSQL) via a secure web interface with data checks applied during data entry to ensure data quality. The database includes a complete suite of features which are compliant with GCP, EU and UK regulations and Sponsor security policies, including a full audit trail, user-based privileges, and integration with the institutional LDAP server. The MySQL and PostgreSQL database and the webserver will both be housed on secure servers maintained by the University of Oxford IT personnel. The servers are in a physically secure location in Europe. Backups will be stored in accordance with the IT department schedule of daily, weekly, and monthly retained for one month, three months, and six months, respectively. The IT servers provide a stable, secure, well-maintained, and high capacity data storage environment. REDCap is a widely-used, powerful, reliable, well-supported system. Access to the study's database will be restricted to members of the study team by username and password.

14.3. Record keeping

The Investigators will maintain appropriate medical and research records for this trial, in compliance with GCP and regulatory and institutional requirements for the protection of confidentiality of volunteers. The Chief Investigator, co-Investigators and clinical research nurses will have access to records. The Investigators will permit authorised representatives of the Sponsor(s) and Host institution, as well as ethical and regulatory agencies to examine (and when required by applicable law, to copy) clinical records for the purposes of quality assurance reviews, audits and evaluation of the study safety and progress.

Identifiable information such as contact details will be stored for a minimum of 5 years from the end of the study. This includes storage of consent forms. Storage of these data will be reviewed every 5 years and files will be confidentially destroyed if storage is no longer required. Considerations at the time of this review will include the value of retaining this information for participant safety (e.g. to inform participants of unexpected safety signals emerging from post-licensing surveillance), as a resource for the participants (e.g. if they wish to check which vaccines they have received in the study) and any regulatory requirements. Financial information will be stored for 7 years. De-identified research data maybe be stored indefinitely. If volunteers consent to be contacted for future research, a record of this consent will be recorded, retained and stored securely and separately from the research data. If volunteers consent to have their samples stored and used in future research, information about their consent form will be retained and stored securely as per Biobanking procedures and SOP.

14.4. Source data and Case Report Forms (CRFs)

All protocol-required information will be collected in CRFs designed by the Investigator. All source documents will be filed in the participant file. Source documents are original documents, data, and records from which the participant CRF data are obtained. For this study, these will include, but are not limited to, volunteer consent form, blood results, GP response letters, laboratory records, diaries, medical records and correspondence. In the majority of cases, CRF entries will be considered source data as the CRF is the site of the original recording (i.e. there is no other written or electronic record of data). In this study this will include, but is not limited to medical history, medication records, vital signs, physical examination records, urine assessments, safety blood results, adverse event data and details of vaccinations. All source data and participant files will be stored securely.

To prevent withdrawal of a participant due to relocation, if there is a nearby participating site and with the consent of the participant, copies of relevant participant research records (such as ICF, paper source documents) will be transferred to the local site using secure email addresses such as nhs.net or by password protected sheets. The electronic research data stored on REDCap will also be transferred to the new site. The original records will be retained by the recruiting site.

14.5. Data Quality

Data collection tools will undergo appropriate validation to ensure that data are collected accurately and completely. Datasets provided for analysis will be subject to quality control processes to ensure analysed data is a true reflection of the source data.

Trial data will be managed in compliance with local data management SOPs. If additional, study specific processes are required, an approved Data Management Plan will be implemented

14.6. Data Sharing

For participants who are also registered on NHS Digital's '*Sign up to be contacted for coronavirus vaccine studies*' service, we will share the minimum amount of information necessary with NHS Digital in order to allow them to update their database so that participants are not contacted about further trials, as participants are permitted only to be in one vaccine study at a time.

Personally identifiable information will be shared with Public Health England regarding SARS-CoV2 PCR test results depending on the most up to date legal requirement to report on Notifiable Diseases at the time.

15. Quality Assurance Procedures

15.1. Risk Assessment

The trial will be conducted in accordance with the current approved protocol, GCP, relevant regulations and standard operating procedures. A risk assessment and monitoring plan will be prepared before the study opens and will be reviewed as necessary over the course of the trial to reflect significant changes to the protocol or outcomes of monitoring activities.

15.2. Monitoring

Monitoring will be performed according to Good Clinical Practice (GCP) guidelines by an external monitor. Following written SOPs, the monitors will verify that the clinical trial is conducted and data are generated, documented and reported in compliance with the protocol, GCP and the applicable regulatory requirements. The investigator sites will provide direct access to all trial related source data/documents and reports for the purpose of monitoring and auditing by the Sponsor or the Host institution and inspection by local and regulatory authorities

15.3. Trial committees

15.3.1. Trial Steering Committee

A Trial Steering Committee will be formed to oversee the study, and advise the Study Management Committee on key issues of study conduct, including, but not limited to, study pauses due to safety concerns on the advice of the DSMB.

15.3.2. Safety Monitoring Committee

A Data Safety Monitoring Board (DSMB) will be convened. The DSMB will evaluate frequency of events, safety and efficacy data as specified in the DSMB charter. The DSMB will make recommendations concerning the conduct, continuation or modification of the study for safety reasons to the Trial Steering Committee.

The DSMB will review SAEs or AESIs deemed possibly, probably or definitively related to study interventions. The DSMB will be notified within 24 hours of the Investigators' being aware of their occurrence. The DSMB can recommend placing the study on hold if deemed necessary following a study intervention-related SAE.

15.3.3. Study Management Committee

Consists of the site Investigators and the Laboratory lead for Public Health England.

16. PROTOCOL DEVIATIONS

A trial related deviation is a departure from the ethically approved trial protocol or other trial document or process (e.g. consent process or IMP administration) or from Good Clinical Practice (GCP) or any applicable regulatory requirements. Deviations from the protocol will be documented in a protocol deviation form according to SOP OVC027 and filed in the trial master file.

These will be managed as per SOP OVC027.

17. SERIOUS BREACHES

The Medicines for Human Use (Clinical Trials) Regulations contain a requirement for the notification of "serious breaches" to the MHRA within 7 days of the Sponsor becoming aware of the breach.

A serious breach is defined as "A breach of GCP or the trial protocol which is likely to affect to a significant degree –

(a) the safety or physical or mental integrity of the subjects of the trial; or

(b) the scientific value of the trial”.

In the event that a serious breach is suspected the Sponsor must be contacted within 1 working day. In collaboration with the CI the serious breach will be reviewed by the Sponsor and, if appropriate, the Sponsor will report it to the REC committee, Regulatory authority and the relevant NHS host organisation within seven calendar days.

18. ETHICAL AND REGULATORY CONSIDERATIONS

18.1. Declaration of Helsinki

The Investigator will ensure that this trial is conducted in accordance with the principles of the Declaration of Helsinki.

18.2. Guidelines for Good Clinical Practice

The Investigator will ensure that this trial is conducted in accordance with relevant regulations and with Good Clinical Practice.

18.3. Approvals

Following Sponsor approval the protocol, informed consent form, participant information sheet and any proposed advertising material will be submitted to an appropriate Research Ethics Committee (REC), HRA (where required), regulatory authorities (MHRA in the UK), and host institution(s) for written approval. No amendments to this protocol will be made without consultation with, and agreement of, the Sponsor.

The Investigator is responsible for ensuring that changes to an approved trial, during the period for which regulatory and ethical committee(s) approval has already been given, are not initiated without regulatory and ethical committee(s) review and approval except to eliminate apparent immediate hazards to the subject (i.e. as an Urgent Safety Measure).

18.4. Other Ethical Considerations

Study team members are not eligible for participation in the study. Family members of the study team are not barred from inclusion in the trial.

Participants eligible for routine SARS-CoV-2 immunisation as per national guidelines will not be excluded from participation in the trial; but will be counselled specifically on the risks of receiving an unapproved vaccine and/or schedule. In particular, the risks of reduced efficacy and unforeseen safety concerns will be discussed.

18.5. Reporting

The CI shall submit once a year throughout the clinical trial, or on request, an Annual Progress Report to the REC, HRA (where required), host organisation, funder (where required) and Sponsor. In addition, an End of Trial notification and final report will be submitted to the MHRA, the REC, host organisation and Sponsor.

18.6. Transparency in Research

Prior to the recruitment of the first participant, the trial will have been registered on a publicly accessible database. Results will be uploaded to the European Clinical Trial (EudraCT) Database within 12 months of the end of trial declaration by the CI or their delegate. Where the trial has been registered on multiple public platforms, the trial information will be kept up to date during the trial, and the CI or their delegate will upload results to all those public registries within 12 months of the end of the trial declaration.

18.7. Participant confidentiality

The study will comply with the General Data Protection Regulation (GDPR) and Data Protection Act 2018, which require data to be de-identified as soon as it is practical to do so. The processing of personal data of participants will be minimised by making use of a unique participant study number only on all study documents and any electronic database(s), with the exception of informed consent forms, participant ID log and electronic diaries. All documents will be stored securely and only accessible by study staff and authorised personnel. The study staff will safeguard the privacy of participants' personal data. A separate confidential file containing identifiable information will be stored in a secured location in accordance with the current data protection legislation. Photographs of vaccination sites if required (with the participants' written, informed consent), will not include the participants' face and will be identified by the date, trial code and subject's unique identifier. Once developed, photographs will be stored as confidential records, as above. This material may be shown to other professional staff, used for educational purposes, or included in a scientific publication.

18.8. Expenses and Benefits

Volunteers will be compensated for their time, the inconvenience of having blood tests and procedures, and their travel expenses. The total amount compensated will depend on the exact number of visits, and whether any repeat or additional visits are necessary. For all trial visits compensation will be calculated according to the following:

- Travel expenses: £15 per visit
- Inconvenience of blood tests: £10 per blood donation
- Time required for visit: £20 per visit

19. FINANCE AND INSURANCE

19.1. Funding

The study is funded by the UK Government through the National Institute for Health Research (NIHR) as well as through the Collaboration for Epidemic Preparedness Innovations (CEPI)

19.2. Insurance

The University has a specialist insurance policy in place which would operate in the event of any participant suffering harm as a result of their involvement in the research (Newline Underwriting Management Ltd, at Lloyd's of London). NHS indemnity operates in respect of the clinical treatment that is provided.

19.3. Contractual arrangements

Appropriate contractual arrangements will be put in place with all third parties.

20. PUBLICATION POLICY

The Investigators will be involved in reviewing drafts of the manuscripts, abstracts, press releases and any other publications arising from the study. Data from the study may also be used as part of a thesis for a PhD or MD.

21. DEVELOPMENT OF A NEW PRODUCT / PROCESS OR THE GENERATION OF INTELLECTUAL PROPERTY

Ownership of IP generated by employees of the University vests in the University. The University will ensure appropriate arrangements are in place as regards any new IP arising from the trial.

22. ARCHIVING

Study data may be stored electronically on a secure server, and paper notes will be kept in a key-locked filing cabinet at the site. All essential documents will be retained for a minimum of 5 years after the study has finished with 5 yearly reviews. The need to store study data for longer in relation to licensing of the vaccine will be subject to ongoing review. For effective vaccines that may be licensed, we may store research data securely at the site at least 15 years after the end of the study, subject to adjustments in clinical trials regulations. Where relevant participants'

bank details will be stored for 7 years in line with the site financial policy. De-identified research data maybe be stored indefinitely, but with 5 yearly review.

General archiving procedures will be conducted in compliance to SOP OVC020 Archiving.

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24. APPENDIX A: SCHEDULE OF PROCEDURES

General cohort boosted at 56-84 days

| | Screening | V1 | V2 | V3 | V4 | V5 | (VPP) Only if enter C19P |
|---|-----------|------------------------------|-----------|-----------|-------------|-------------|--------------------------------|
| Study timeline | | D0 | D28 | D56 | D112 | D294 | (D0-D294) |
| Study window | | Within 56-84 days post-prime | Day 25–32 | Day 53–60 | Day 105–119 | Day 196-309 | Within 7 days of positive test |
| Informed consent | X* | X | | | | | |
| Safety bloods | | X | X | | | | X |
| Medical history | X | | | | | | |
| Interim medical history | | X | X | X | X | X | X |
| Physical examination (as required) | | (X) | (X) | (X) | (X) | (X) | X |
| Urine test (Pregnancy) (if required) | | X | | | | | |
| COVID-19 vaccination | | X | | | | | |
| COVID-19 immunogenicity bloods | | X | X | X | X | X | X |
| SARS-Cov-2 viral swab | | | | | | | X |
| Diary card review | | | X | X | | | X |
| SAE/AESI/Medically attended AE check | | | X | X | X | X | X |

*Online Consent. Restricted to taking a limited medical history online, contacting the GP for further medical record if required and telephone screening visit(s)

Immunology cohort boosted at 56-84 days

| | Screening | V1 | V1A | V1B | V2 | V3 | V4 | V5 | (VPP) Only if enter C19P |
|---|-----------|-----------|-----------|------------|------------|------------|-------------|-------------|-----------------------------------|
| Study timeline | | D0 | D7 | D14 | D28 | D56 | D112 | D294 | (D0-D294) |
| Post-prime timeline | | D56-84 | D63-91 | D70-98 | D84-112 | D112-140 | D170-196 | D350-378 | |
| Study window | | | Day 5-9 | Days 12–16 | Day 25–32 | Day 53–60 | Day 105–119 | Day 196-309 | Within 7 days of positive test |
| Informed consent | X* | X | | | | | | | |
| Safety bloods | | X | X | | X | | | | X |
| Medical history | X | | | | | | | | |
| Interim medical history | | X | X | X | X | X | X | X | X |
| Physical examination (as required) | | (X) | (X) | (X) | (X) | (X) | (X) | (X) | X |
| Urine test (pregnancy) (if required) | | (X) | | | | | | | |
| COVID-19 vaccination | | X | | | | | | | |
| COVID-19 immunogenicity bloods | | X | X | X | X | X | X | X | X |
| SAM-strip | | X | X | X | X | X | X | X | X |
| Saliva | | X | X | X | X | X | X | X | X |
| SARS-CoV-2 viral swab | | | | | | | | | X |
| Diary card review | | | X | X | X | X | X | X | X |
| SAE/AESI/Medically attended AE check | | | X | X | X | X | X | X | X |

*Online Consent. Restricted to taking a limited medical history online, contacting the GP for further medical record if required and telephone screening visit(s)

25. APPENDIX B: AMENDMENT HISTORY

| Amendment No. | Protocol Version No. | Date issued | Author(s) of changes | Details of Changes made |
|------------------|----------------------|-------------|----------------------|---|
| 1 (REC comments) | 1.1 | 31/03/2021 | R. Shaw | <p>1. Section 10.2.2: 120 day criteria between screening and V1 removed</p> <p>2. Appendix A: Schedule Of Procedure: 120 day criteria between screening and V1 removed as per section 10.2.2</p> <p>3. Appendix D Blood Sampling: Lab names removed from blood tables</p> |
| 2 (SA1) | 2.0 | 12/04/2021 | E. Plested | <p>Removal of statistician M.Greenland – two senior statisticians will remain listed on the protocol.</p> <p>6.2.10 Potential Risks - updated with emerging Thrombosis with Thrombocytopenia Association with vaccination</p> <p>Typographical error - Clarification of sample requirements in appendix D</p> |
| 3 (NSA4) | 2.1 | 23/04/2021 | R.Shaw | Correction of specific blood volumes in Appendix D – General cohort table. Total volume of blood amount remains the same |
| 4 (SA3) | 3.0 | 19/05/2021 | X.Liu, R.Shaw | <p>1. Section 13.4: Addition of interim analysis.</p> <p>2. Section 13: Refinement with correction of typographical errors in the statistical plan.</p> <p>3. Removal of anti-nucleocapsid IgG from D56 visit as there is currently low incidence of COVID infection in the community (Tables in: Synopsis, section 7. Objectives and Outcome measures, Appendix D)</p> |

| | | | | |
|----------|-----|------------|------------------------------|---|
| 8 (SA4) | 4.0 | 15/06/2021 | R.Shaw, A.Stuart, N.Singh | <p>1. Section 10.5: Addition of individual and mass unblinding options</p> <p>2. Section 10.8 – clarification of wording on unblinding in line with changes in 10.5</p> |
| 9 (SA5) | 5.0 | 29/07/2021 | A.Stuart, R.Shaw | <p>4. Synopsis – change of individual trial participant follow up period to 7-10 months</p> <p>8.2 Trial duration - change of individual trial participant follow up period to 7-10 months</p> <p>10.5 – additional wording to clarify that participants who were still blinded would be unblinded if accepting a third+ boost from the national immunization programme</p> <p>Addition of section 10.6.5 – Explanation of trial management of participant visits should third dose boosts be offered through the national immunisation program</p> <p>Appendix A – changes to visit window for V5</p> <p>Updating of AZ storage 11.3.1 sections as well as Safety information (section 12) and DSUR section 12.11 in light of update from Investigator’s brochure to Summary of Product Characteristics equivalent documentation for regulation 174 licensed medicinal product</p> |
| 10 (SA6) | 6.0 | 08/09/2021 | R.Shaw | <p>Sections 11.3.2, 11.3.3, 11.3.4 and updated as per updated Pfizer SmPC (11/08/2021) AstraZeneca SmpC (19-Jul-2021) and Moderna SmPCs (20/08/2021) and Novavax IB (06/08/2021)</p> <p>Sections 12.8 – adverse events for all study vaccines updated as per updated SmPCs/IBs</p> |

| | | | | |
|----------|-----|------------|----------|---|
| | | | | Section 12.11 updated to clarify DSUR reporting |
| 11(SA6) | 6.1 | 22/09/2021 | I.Vichos | In response to MHRA comments regarding the RSI: Section 12.8.1 has been updated to refer to the AZ, Pfizer and Moderna SmPCs Section 12.8.2: For ARs to the AZ, Pfizer and Moderna vaccines refer to their SmPCs. |
| 12 (SA8) | 7.1 | 20/07/2022 | E.Morey | Chief investigator details updated to Professor Maheshi Ramasamy in: Section 1: Key trial Contacts and Section 2: Conflict of interest declaration. |

26. APPENDIX C: Toxicity grading scale for Laboratory AEs

| | | Units | Lab range | Grade 1 | Grade 2 | Grade 3 | Grade 4 |
|----------------------------------|---------------------------|----------------------|-----------|------------------------|-------------------------|------------------------|--------------------|
| Haematology | | | | | | | |
| Haemoglobin Absolute | Male | g/l | 130-170 | 115-125 | 100-114 | 85-99 | <85 |
| Haemoglobin Absolute | Female | g/l | 120-150 | 105-113 | 90-104 | 80-89 | <80 |
| Haemoglobin change from baseline | | | n/a | 10-15 | 16-20 | 21-50 | >50 |
| White Blood Cells | Elevated | x 10 ⁹ /L | 11.00 | 11.50-15.00 | 15.01-20.00 | 20.01-25.00 | >25.00 |
| White Blood Cells | Low | x 10 ⁹ /L | 4.00 | 2.50-3.50 | 1.50-2.49 | 1.00-1.49 | <1.00 |
| Platelets | Low | x 10 ⁹ /L | 150-400 | 125-140 | 100-124 | 25-99 | <25 |
| Neutrophils | Low | x 10 ⁹ /L | 2.00-7.00 | 1.50-1.99 | 1.00-1.49 | 0.50-0.99 | <0.50 |
| Lymphocytes | Low | x 10 ⁹ /L | 1.00-4.00 | 0.75-0.99 | 0.50-0.74 | 0.25-0.49 | <0.25 |
| Eosinophils | Elevated | x 10 ⁹ /L | 0.02-0.50 | 0.65-1.50 | 1.51-5.00 | >5.00 | Hyper eosinophilia |
| Biochemistry | | | | | | | |
| Sodium | Elevated | mmol/L | 145 | 146-147 | 148-149 | 150-155 | >155 |
| Sodium | Low | mmol/L | 135 | 132-134 | 130-131 | 125-129 | <125 |
| Potassium | Elevated | mmol/L | 5.0 | 5.1-5.2 | 5.3-5.4 | 5.5-6.5 | >6.5 |
| Potassium | Low | mmol/L | 3.5 | 3.2-3.3 | 3.1 | 2.5-3.0 | <2.5 |
| Urea | Elevated | mmol/L | 2.5-7.4 | 8.2-9.3 | 9.4-11.0 | >11.0 | Requires dialysis |
| Creatinine | Elevated | µmol/L | 49-104 | 1.1-1.5xULN 114-156 | >1.5-3.0xULN 157-312 | >3.0xULN >312 | Requires dialysis |
| Bilirubin | Elevated Normal LFTs | µmol/L | 0-21 | 1.1-1.5xULN 23-32 | >1.5-2xULN 33-42 | >2-3xULN 43-63 | >3xULN >63 |
| Bilirubin | Elevated Abnormal LFTs | µmol/L | 0-21 | 1.1-1.25xULN 23-26 | >1.25-1.5xULN 27-32 | >1.5-1.75xULN 33-37 | >1.75xULN >37 |
| ALT | Elevated | IU/L | 10-45 | 1.1-2.5xULN 49-112 | >2.5-5xULN 113-225 | >5-10xULN 226-450 | >10xULN >450 |
| ALP (Alkaline phosphatase) | Elevated | IU/L | 30-130 | 1.1-2xULN 143-260 | >2-3xULN 261-390 | >3-10xULN 391-1300 | >10xULN >1300 |
| Albumin | Low | g/L | 32-50 | 28-31 | 25-27 | <25 | - |
| CRP | Elevated | mg/L | 0-10 | 11-30 | 31-100 | 101-200 | >200 |

Normal ranges may vary between sites and gradings may be adapted between sites

27. APPENDIX D: BLOOD SAMPLING

General Cohort at sites collecting Lithium Heparin samples

| | V1 | V2 | V3 | V4 | V5 | (VPP) Only if enter C19P |
|-------------------------------------|---|---|--|---|---|---|
| Study timeline | D0 | D28 | D56 | D112 | D294 | (D0-D294) |
| Safety bloods | 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | | | | 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) |
| COVID-19 vaccination | X | | | | | |
| Primary endpoint | | Anti-spike IgG | | | | |
| Secondary endpoints | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs **ELIspot | Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs **ELIspot | Anti-spike IgG Neutralising Abs Pseudo-neut Abs **ELIspot | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs **ELIspot | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs **ELIspot | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs **ELIspot |
| No of tubes & colours | Up to 30ml Red **Up to 20ml Green (LiHep)* 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | Up to 30ml Red **Up to 20ml Green (LiHep)* 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | Up to 30ml Red **Up to 20ml Green (LiHep)* | Up to 30ml Red **Up to 20ml Green (LiHep)* | Up to 30ml Red **Up to 20ml Green (LiHep)* | Up to 30ml Red **Up to 20ml Green (LiHep)* 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) |
| Total volume per visit | Up to 57ml | Up to 57ml | Up to 50ml | Up to 50ml | Up to 50ml | Up to 57ml |
| Total volume by end of study | At any point in the study, a repeat of safety bloods may be recommended at the clinical discretion of the investigator. This will result in up to an extra 7ml per repeat blood sample. | | | | Up to 264ml | + Up to 57ml per C-19 pathway attended |

*LiHep tube or equivalent

General Cohort at sites NOT collecting Lithium Heparin samples

| | V1 | V2 | V3 | V4 | V5 | (VPP) Only if enter C19P |
|-------------------------------------|---|--|---|--|--|--|
| Study timeline | D0 | D28 | D56 | D112 | D294 | (D0-D294) |
| Safety bloods | 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | | | | 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) |
| COVID-19 vaccination | X | | | | | |
| Primary endpoint | | Anti-spike IgG | | | | |
| Secondary endpoints | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs | Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs | Anti-spike IgG Neutralising Abs Pseudo-neut Abs | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs | Anti-spike IgG Neutralising Abs Anti-nucleocapsid IgG Pseudo-neut Abs |
| No of tubes & colours | Up to 30ml Red 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | Up to 30ml Red 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) | Up to 30ml Red | Up to 30ml Red | Up to 30ml Red | Up to 30ml Red 1 x FBC (up to 2ml) 1 x Biochem (up to 5ml) |
| Total volume per visit | Up to 37ml | Up to 37ml | Up to 30ml | Up to 30ml | Up to 30ml | Up to 37ml |
| Total volume by end of study | At any point in the study, a repeat of safety bloods may be recommended at the clinical discretion of the investigator. This will result in up to an extra 7ml per repeat blood sample. | | | | Up to 164ml | + Up to 37ml per C-19 pathway attended |

*LiHep tube or equivalent

Immunology Cohort

| | V1 | V1A | V1B | V2 | V3 | V4 | V5 | (VPP) Only if C19P |
|----------------------------------|---|--|---|---|--|--|--|---|
| Study timeline | D0 | D7 | D14 | D28 | D56 | D112 | D294 | (D0-D294) |
| Safety bloods | X | X | | X | | | | X |
| COVID-19 vaccination | X | | | | | | | |
| Primary endpoint | | | | Anti-spike IgG | | | | |
| Secondary endpoints | Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot ICS | Anti-spike IgG | Serum ELISpot ICS | Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot | Anti-spike IgG Neutralising Ab Pseudo-neut Ab ELISpot | Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot | Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot | Anti-spike IgG Neutralising Ab Anti-N IgG Pseudo-neut Ab ELISpot ICS |
| No of tubes & colours | Up to 20ml Red Up to 50ml Green (LiHep)* 1xFBC (up to 2ml) 1xBiochem (up to 5ml) | Up to 20ml Red 1xFBC (up to 2ml) 1xBiochem (up to 5ml) | Up to 20ml Red Up to 50ml Green (LiHep)* | Up to 20ml Red Up to 30ml Green (LiHep)* 1xFBC (up to 2ml) 1xBiochem (up to 5ml) | Up to 20ml Red Up to 30ml Green (LiHep)* | Up to 20ml Red Up to 30ml Green (LiHep)* | Up to 20ml Red Up to 30ml Green (LiHep)* | Up to 20ml Red Up to 50ml Green (LiHep)* 1xFBC (up to 2ml) 1xBiochem (up to 5ml) |
| Total volume per visit | Up to 77ml | Up to 27ml | Up to 70ml | Up to 57ml | Up to 50ml | Up to 50ml | Up to 50ml | Up to 77ml |
| Total volume (study end) | At any point in the study, a repeat of safety bloods may be recommended at the clinical discretion of the investigator. This will result in up to an extra 7ml per repeat blood sample. | | | | | | Up to 381ml | + Up to 77ml per C-19P attended |

*LiHep tube or equivalent